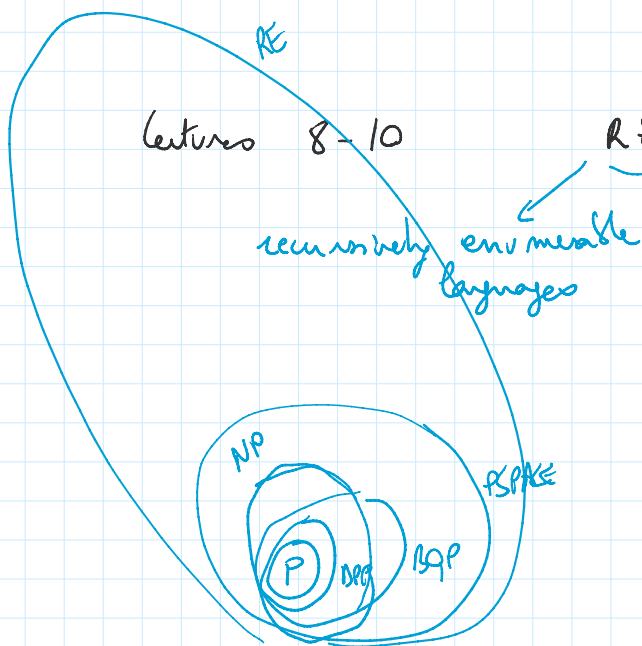
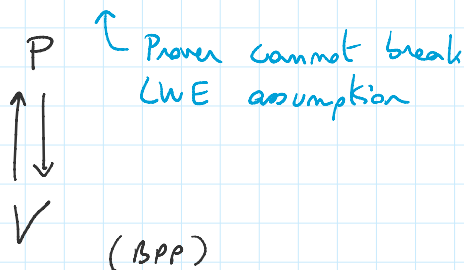


# Lecture 8 - Multiprover interactive proof systems

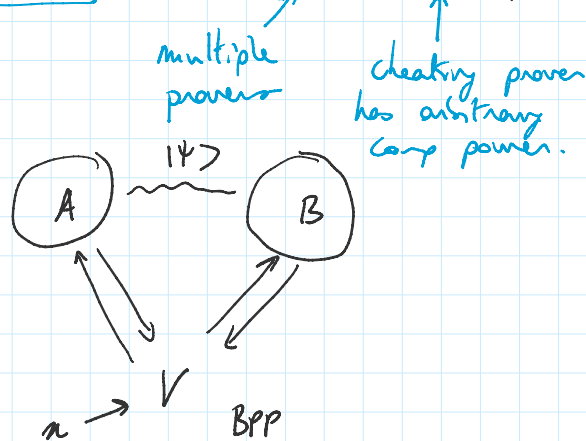
Tuesday, November 17, 2020 9:48 AM

lectures 4-7

$$BQP \subseteq IP [BQP]$$



$$RE = MIP^* (= MIP[ALL])$$



Def: A promise language  $L = (L_{yes}, L_{no})$  is in  $MIP^*$  iff  $\exists$  poly-time Turing machine  $\Pi: \{0,1\}^n \rightarrow \{0,1\}^n$ , description of a BPP verifier st the following holds:

- (i) Completeness:  $\forall x \in L_{yes}, \exists$  quantum provers  $(A, B)$  st  $V_{\Pi}(x)$  accepts  $x$  and  $(A, B)$  wp  $\geq 2/3$
- (ii) Soundness:  $\forall x \in L_{no}, \forall$  quantum provers  $(A, B)$   $V_{\Pi}(x)$  accepts  $x$  and  $(A, B)$  wp  $\leq 1/3$ .

## A - Classical multiprover interactive proofs

MIP

Graph coloring

$x = (I^n, C)$  st  $C$  is a classical circuit  
 $\dots (I^n, (I^n, \dots, I^n))$

$x = (I^n, C)$  st  $C$  is a classical circuit  
 $C: \{0,1\}^n \times \{0,1\}^n \rightarrow \{0,1\}$

To  $x$ , associate graph  $G_x = (V_x, E_x)$

$$V_x = \{0,1\}^n$$

$$(i,j) \in E_x \text{ iff } C(i,j) = 1$$

Obs Fact  $L: L_{yes} = \{x = (I^n, C) \text{ st } G_x \text{ is 3-col}\}$   
 $L_{no} = \{x = (I^n, C) \text{ st } G_x \text{ is not 3-col}\}.$

$L$  is NEXP-complete.

NIP for  $L$ :

Verifier: 1) Parse its input  $x = (I^n, C)$

2) Select  $i, j \leftarrow_R \{0,1\}^n$   
 send  $i$  to  $A$ ,  $j$  to  $B$ .

3)  $A$  returns  $a \in \{0,1,2\}$   
 $B$  returns  $b \in \{0,1,2\}$

4) Accepts iff either

(i)  $i=j$  and  $a=b$   
 (ii)  $C(i,j)=1$  and  $a \neq b$   
 (iii)  $C(i,j)=0$

Classical strategies:  $f_A, f_B: \{0,1\}^n \rightarrow \{0,1,2\}.$

Def  $w(V \text{ on input } x) =$  maximum success prob of classical provers in protocol.

Claim

- if  $G_x$  is 3col then  $w(V_x) = 1$
- if  $G_x$  is not 3col then  $w(V_x) \leq 1 - 2^{-\Omega(n)}$

thm • Can show that  $L \in \text{NIP}$   
 $\Rightarrow \text{NEXP} \subseteq \text{NIP}$  }  $\text{NIP} = \text{NEXP}$

•  $\text{NIP} \subseteq \text{NEXP}$

↑  
 prove by enumerating over all strategies  $(f_A, f_B)$

## B - quantum NIP<sup>\*</sup>

Provers:  $(f_A, f_B) \leftrightarrow$  (local q. operation on  $|ψ\rangle \in H_A \otimes H_B$ )

larger class of strategies

$$w^*(V_x) \geq w(V_x)$$

↑ supremum over quantum strat      ↑ supremum over class strat

(i) Complexity-theoretic intuition:  
provers can do more  $\rightarrow$  NIP<sup>\*</sup> smaller than NIP

(ii) Optimization intuition:  
more complicated class of strategies  $\rightarrow w^*(V_x)$  harder to compute  
 $\rightarrow$  upper bound NIP<sup>\*</sup>  $\not\subseteq$  NEXP

Focus on NIP<sup>\*</sup>(2,1)  
two provers  $\rightarrow$  1 round of interaction

## C - Nonlocal games

Def A nonlocal game is  $G = (\pi, R)$

where  $\pi$  is a dist on  $X \times Y$   
finite q. set

$R$ : decision predicate

$$R: X \times Y \times \underline{A} \times \underline{B} \rightarrow \{0,1\}$$

finite answer sets  
"  $R(a,b|x,y) = 1$  iff  $(a,b)$  are valid answers to  $(x,y)$  "

A strategy  $S$  for  $G$  is  $G = \{ p(a,b|x,y) \}_{x,y \in X \times Y}$   
where  $p(\cdot, \cdot | x, y)$  is a dist on  $A \times B$ .

Success prob  $w(G; S) = \sum_{x,y} \pi(x,y) \sum_{a,b} p(a,b|x,y) R(a,b|x,y)$ .

Classes of strategies:

•  $S_{\text{class}} = \left\{ p(a,b|x,y) = \int_{\lambda} P_A(a|x,\lambda) P_B(b|y,\lambda) d\lambda \right\}$ .

•  $S_{\text{quant}} = \left\{ p(a,b|x,y) = \langle \Psi | A_a^x \otimes B_b^y | \Psi \rangle \right\}$  where:

$|\Psi\rangle \in \mathbb{C}^k \otimes \mathbb{C}^k$ ,  $H_A, H_B$  f.d. Hilbert spaces

$\forall x, \{A_a^x\}_a$  POVM on  $H_A$

$\forall y, \{B_b^y\}_b$  POVM on  $H_B$ .

$\tilde{A}_a^x \in \mathbb{C}^{k \times k}$

$\hat{A}_a^x = (\tilde{A}_a^x)^* \tilde{A}_a^x \geq 0$

$\hat{A}^x = \sum_a \hat{A}_a^x$

$A_a^x = (\hat{A}^x)^{-1/2} \hat{A}_a^x (\hat{A}^x)^{-1/2}$

$w^*(G) = \sup_{S \in S_{\text{quant}}} w(G; S)$

Complexity of  $\text{NIP}^*$  is "same" as complexity  $G \mapsto w^*(G)$

$V_n$  represented by a circuits

poly site



$G$  represented explicitly by  $\pi, R$

exp site.

Lemma  $\text{NIP}^*(2,1) \in \text{RE}$

Prf: To show  $\#$ : Any  $L \in \text{NIP}^*(2,1)$  is st  $L \in \text{RE}$

$(\Leftrightarrow) \exists \text{TM } M$  st:

$\forall x \in L_{\text{yes}}, M$  halts with "YES"

$\forall x \in L_{\text{no}}, M$  does not halt with "YES"

We know that  $\forall x, \exists$  normal game  $G_x$  (computable from  $x$ )

st  $x \in L_{\text{yes}} \Rightarrow w^*(G_x) \geq 2/3$

$x \in L_{\text{no}} \Rightarrow w^*(G_x) \leq 1/3$ .

Algorithm A: (1) Compute  $G_x$

(2) compute  $\sigma_1 \leq \sigma_2 \leq \dots \leq \sigma_k \leq \dots$

where  $\sigma_k$  is supremum of  $w^*(G_x, S)$

for all q. strategies  $S$  in an  $(1/k)$ -net of strategies in  $\text{dim} \leq k$ .

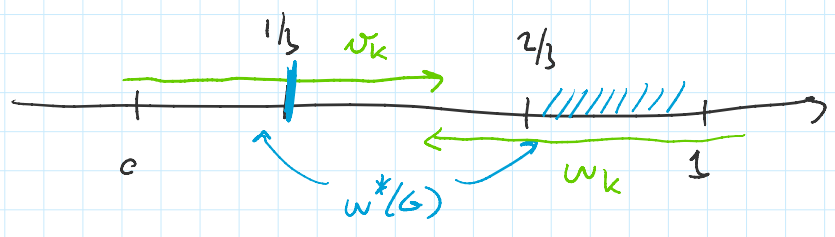
(3) if  $\sigma_k > 1/2$  then  $A$  halts with "YES"

of strategies in num  $\leq k$ .  
 (3) if  $v_k > 1/2$  then A halts with "YES"

By definition,  $\lim_{k \rightarrow \infty} v_k = w^*(G)$

- If  $w^*(G) \geq 2/3$ ,  $\exists k$  st  $v_k > 1/2$  then A halts with "YES"
- If  $w^*(G) \leq 1/3$ ,  $\forall k$   $v_k \leq 1/3$  so A never halts.

This shows that  $L \in RE$ .



To get an actual alg we need

Alg B:  $w_1 \geq w_2 \geq \dots \geq w_k \rightarrow w^*(G)$

Upper bounds on  $w^*(G)$ .

$$w^*(G) = \sup_{\substack{|\psi\rangle \in H_A \otimes H_B \\ A_a^x, B_b^y}} \sum_{x,y,a,b} \pi(x,y) R(a,b|x,y) \langle \psi | A_a^x \otimes B_b^y | \psi \rangle$$

$$\leq \sup_{\substack{|\mu_a^x\rangle, |\nu_b^y\rangle \in H \\ \sum_a \|\mu_a^x\|^2 \leq 1 \quad \forall x \\ \sum_b \|\nu_b^y\|^2 \leq 1 \quad \forall y}} \sum_{x,y,a,b} \pi(x,y) R(a,b|x,y) \langle \mu_a^x | \nu_b^y \rangle$$

$|\mu_a^x\rangle = A_a^x \otimes \mathbb{I} |\psi\rangle$   
 $|\nu_b^y\rangle = \mathbb{I} \otimes B_b^y |\psi\rangle$

$$n = |X| = |Y|$$

$$l = |A| = |B|$$

$$w_1 \leq \sup_{|\mu_a^x\rangle, |\nu_b^y\rangle \in \mathbb{C}^{2nl}} \sum_{x,y,a,b} \pi(x,y) R(a,b|x,y)$$

Same norm conditions

Semi-definite program

$$\begin{aligned} &= \sup_{\substack{P \in \mathbb{C}^{2nl \times 2nl} \\ \text{st } P \succeq 0}} \sum_{x,y,a,b} \pi(x,y) R(a,b|x,y) \\ &= \begin{matrix} \begin{matrix} \mu_a^x & \nu_b^y \\ \nu_b^y & \mu_a^x \end{matrix} \\ P = \begin{bmatrix} P_{x_a, x_a} & P_{x_a, y_b} \\ P_{y_b, x_a} & P_{y_b, y_b} \end{bmatrix} \end{matrix} \end{aligned}$$

program

$$\left\{ \begin{array}{l} \text{st } P \geq 0 \\ \sum_a P_{x_a, x_a} \leq 1 \quad \forall x \\ \sum_b P_{y_b, y_b} \leq 1 \quad \forall y. \end{array} \right. \quad P = \begin{matrix} & \begin{matrix} x_a, x_a & x_a, y_b \\ y_b, x_a & y_b, y_b \end{matrix} \\ v_b^y & \begin{bmatrix} P_{x_a, x_a} & P_{x_a, y_b} \\ P_{y_b, x_a} & P_{y_b, y_b} \end{bmatrix} \end{matrix}$$

FACT .  $w^*(G) \leq w_1$  (by definition)

- $w_1$  can be computed in time  $\text{poly}(|G|)$ .
- There are  $G$  st  $w^*(G) \ll w_1$

Define  $w_k = \sup_{P^{(k)} \geq 0} \sum_{x,y,a,b} \pi(x,y) R(a,b|x,y) \rho_{xy,ab}^{(k)}$

$P^{(k)} \in \mathbb{C}^{\binom{2n}{k} \times \binom{2n}{k}}$

$P^{(k)}$  is indexed by sequences  $m_k = (x_1, a_1), (x_2, a_2), \dots, (x_k, a_k)$

$\rho_{x_a y_b}^{(k)} = \rho_{x_a, y_b}^{(k)}$

$u_a^x = A_a^x \otimes \mathbb{I}(\Psi)$        $v_b^y = \mathbb{I} \otimes B_b^y(\Psi)$

$u_{ab}^{xy} = A_a^x \otimes B_b^y(\Psi)$

$u_{a'b'}^{x'k'} = A_{a'}^{x'} \otimes B_{b'}^{y'}(\Psi)$

$\langle u_{ab}^{xy} | v_b^y \rangle = \langle u_a^x | v_b^y \rangle$   
 $(B_b^y)^2 = B_b^y$

Then, •  $w_1 \geq w_2 \geq \dots \geq w_k \geq \dots \geq w^*(G)$

•  $w_k$  can be computed in time  $|G|^{O(k)}$

Th (NPA '08)

$$w_k \rightarrow w_{\text{com}}(G)$$

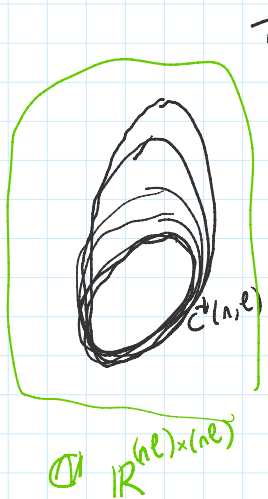
where  $w_{\text{com}}(G) = \sup_{\substack{|\Psi\rangle \in H \text{ (separable)} \\ A_a^x, B_b^y \text{ POVM on } H \\ \forall x,y,a,b [A_a^x, B_b^y] = 0}} \langle \Psi | A_a^x B_b^y | \Psi \rangle$

Pf: GNS construction.

Recap:  $w_1 \leq \dots \leq w_k \leq \dots$   $\rightarrow$   $w^*(G) \leq w_{\text{com}}(G) \leq w_k \leq \dots \leq w_1$

Algorithm A Algorithm B

Corollary of  $\text{NIP}^b = \text{RE}$ :  $\rightarrow w^*(G) \neq w_{\text{com}}(G)$ .  
 $\exists G \text{ it}$



Tsirelson:

$$\rightarrow C^*(n, l) = \left\{ \left( \langle \Psi | A_a^x \otimes B_b^y | \Psi \rangle \right)_{x,y,a,b} : \begin{array}{l} |\Psi\rangle \in H_A \otimes H_B \\ A_a^x, B_b^y \text{ POVM} \end{array} \right\}$$

separate

$$C_{\text{com}}(n, l) = \left\{ \left( \langle \Psi | A_a^x B_b^y | \Psi \rangle \right)_{x,y,a,b} : \begin{array}{l} |\Psi\rangle \in H \\ A_a^x, B_b^y \text{ POVM on } H \\ [A_a^x, B_b^y] = 0 \end{array} \right\}$$

$w^*(G) \neq w_{\text{com}}(G)$   
 Tsirelson: Is  $C^*(n, l) \neq C_{\text{com}}(n, l)$ ? **NO.**

Slofstra '18: The set  $C^*(n, l)$  is not closed

Fact (1) If we restrict all spaces to be f.d. then  $C^*(n, l) = C_{\text{com}}(n, l)$   
 $\forall n, l$

(2)  $w^*(G) = \sup_{\substack{|\Psi\rangle \in H_A \otimes H_B \\ H_A, H_B, \text{ f.d.}}} \dots = \sup_{\substack{|\Psi\rangle \in H_A \otimes H_B \\ H_A, H_B \text{ infinite dim}}} \dots$

E- Connes Embedding Problem (CEP)

Connes '1976 "every type I<sub>1</sub> von Neumann algebra ought to embed into  $\mathcal{R}$ "

Kirchberg '93 QWEP conjecture

$$C^*(\mathbb{F}_2) \otimes_{\text{min}} C^*(\mathbb{F}_2) = C^*(\mathbb{F}_2) \otimes_{\text{max}} C^*(\mathbb{F}_2)?$$

QWEP  $\Rightarrow$  CEP

Fritz, JNP, Ozawa: QWEP  $\Rightarrow$  Tsirelson's pb.

Corollary 2

$MIP^b = RE \Rightarrow$  CEP is false

$\Rightarrow \exists$   $\ast N$  algebra  
that is "not hyperfinite"