

CS21  
Decidability  
and  
Tractability

Lecture 14  
February 7, 2025

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### Outline

- undecidable problems
  - computation histories
  - surprising contrasts between decidable/undecidable
- Rice's Theorem
- Post Correspondence Problem (skip?)
- Beyond RE and co-RE
- Recursion Theorem

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### Dec. and undec. problems

**Theorem:**  $ALL_{CFG}$  is undecidable.

**Proof:**

- reduce from  $co-ATM$  (i.e. show  $co-ATM \leq_m ALL_{CFG}$ )
- what should  $f(\langle M, w \rangle)$  produce?
- Idea:
  - produce CFG  $G$  that generates all strings that are **not accepting computation histories** of  $M$  on  $w$

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### Dec. and undec. problems

**Proof:**

- build a NPDA, then convert to CFG
- want to accept strings **not** of this form,
 
$$\#C_1\#C_2\#C_3\#\dots\#C_k\#$$
 plus strings of this form but where
  - $C_i$  is **not** the start config. of  $M$  on input  $w$ , or
  - $C_k$  is **not** an accept. config. of  $M$  on input  $w$ , or
  - $C_i$  does **not** yield in one step  $C_{i+1}$  for some  $i$

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### Dec. and undec. problems

**Proof:**

- our NPDA nondeterministically checks one of:
  - $C_i$  is **not** the start config. of  $M$  on input  $w$ , or
  - $C_k$  is **not** an accept. config. of  $M$  on input  $w$ , or
  - $C_i$  does **not** yield in one step  $C_{i+1}$  for some  $i$
  - input has fewer than two  $\#$ 's
- details of first two?
- to check third condition:
  - nondeterministically guess  $C_i$  starting position
  - how to check that  $C_i$  doesn't yield in 1 step  $C_{i+1}$ ?

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### Dec. and undec. problems

**Proof:**

- checking:
  - $C_i$  does **not** yield in one step  $C_{i+1}$  for some  $i$
- push  $C_i$  onto stack
- at  $\#$ , start popping  $C_i$  and compare to  $C_{i+1}$ 
  - accept if mismatch away from head location, or
  - symbols around head changed in a way inconsistent with  $M$ 's transition function.
- is everything described possible with NPDA?

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### Dec. and undec. problems

**Proof:**

- Problem: cannot compare  $C_i$  to  $C_{i+1}$
- could prove in same way that proved  $\{ww : w \in \Sigma^*\}$  not context-free
- recall that  $\{ww^R : w \in \Sigma^*\}$  is context-free
- free to tweak construction of  $G$  in the reduction
- solution: write computation history:  
 $\#C_1\#C_2^R\#C_3\#C_4^R\#\dots\#C_i\#$

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### Dec. and undec. problems

**Proof:**

- $f(\langle M, w \rangle) = \langle G \rangle$  equiv. to NPDA below:

on input  $x$ , accept if not of form:  
 $\#C_1\#C_2^R\#C_3\#C_4^R\#\dots\#C_i\#$

- accept if  $C_i$  is the not the start configuration for  $M$  on input  $w$
- accept if check that  $C_i$  does not yield in one step  $C_{i+1}$
- accept if  $C_k$  is not an accepting configuration for  $M$

- is  $f$  computable?
- YES maps to YES?  
 $\langle M, w \rangle \in \text{co-ATM} \Rightarrow f(M, w) \in \text{ALLCFG}$
- NO maps to NO?  
 $\langle M, w \rangle \notin \text{co-ATM} \Rightarrow f(M, w) \notin \text{ALLCFG}$

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### Rice's Theorem

- We have seen that the following properties of TM's are undecidable:
  - TM accepts string  $w$
  - TM halts on input  $w$
  - TM accepts the empty language
  - TM accepts a regular language
- Can we describe a single generic reduction for all these proofs?
- Yes. Every property of TMs undecidable!

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### Rice's Theorem

- A TM property is a language  $P$  for which
  - if  $L(M_1) = L(M_2)$  then  $\langle M_1 \rangle \in P$  iff  $\langle M_2 \rangle \in P$
- TM property  $P$  is nontrivial if
  - there exists a TM  $M_1$  for which  $\langle M_1 \rangle \in P$ , and
  - there exists a TM  $M_2$  for which  $\langle M_2 \rangle \notin P$ .

**Rice's Theorem:** Every nontrivial TM property is undecidable.

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### Rice's Theorem

- The setup:
  - let  $T_\emptyset$  be a TM for which  $L(T_\emptyset) = \emptyset$ 
    - technicality: if  $\langle T_\emptyset \rangle \in P$  then work with property co- $P$  instead of  $P$ .
    - conclude co- $P$  undecidable; therefore  $P$  undec. due to closure under complement
  - so, WLOG, assume  $\langle T_\emptyset \rangle \notin P$
  - non-triviality ensures existence of TM  $M_1$ , such that  $\langle M_1 \rangle \in P$

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### Rice's Theorem

**Proof:**

- reduce from  $A_{TM}$  (i.e. show  $A_{TM} \leq_m P$ )
- what should  $f(\langle M, w \rangle)$  produce?
- $f(\langle M, w \rangle) = \langle M' \rangle$  described below:

on input  $x$ ,

- accept iff  $M$  accepts  $w$  and  $M_1$  accepts  $x$

(intersection of two RE languages)

- $f$  computable?
- YES maps to YES?  
 $\langle M, w \rangle \in A_{TM} \Rightarrow L(f(M, w)) = L(M_1) \Rightarrow f(M, w) \in P$

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### Rice's Theorem

**Proof:**

- reduce from  $A_{TM}$  (i.e. show  $A_{TM} \leq_m P$ )
- what should  $f(\langle M, w \rangle)$  produce?
- $f(\langle M, w \rangle) = \langle M' \rangle$  described below:

on input  $x$ ,

- accept iff  $M$  accepts  $w$  and  $M'$  accepts  $x$

(intersection of two RE languages)

- NO maps to NO?
  - $\langle M, w \rangle \in A_{TM} \Rightarrow L(f(\langle M, w \rangle)) = L(T\emptyset) \Rightarrow f(\langle M, w \rangle) \in P$

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### Post Correspondence Problem

- many undecidable problems unrelated to TMs and automata
- classic example: Post Correspondence Problem
  - PCP =  $\{ \langle (x_1, y_1), (x_2, y_2), \dots, (x_k, y_k) \rangle : x_i, y_i \in \Sigma^* \text{ and there exists } (a_1, a_2, \dots, a_n) \text{ for which } x_{a_1}x_{a_2}\dots x_{a_n} = y_{a_1}y_{a_2}\dots y_{a_n} \}$

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### Post Correspondence Problem

PCP =  $\{ \langle (x_1, y_1), (x_2, y_2), \dots, (x_k, y_k) \rangle : x_i, y_i \in \Sigma^* \text{ and there exists } (a_1, a_2, \dots, a_n) \text{ for which } x_{a_1}x_{a_2}\dots x_{a_n} = y_{a_1}y_{a_2}\dots y_{a_n} \}$

$x_1$
$y_1$
$x_3$
$y_3$

“tiles”

$x_2$	$x_1$	$x_5$	$x_2$	$x_1$	$x_3$	$x_4$	$x_4$
$y_2$	$y_1$	$y_5$	$y_2$	$y_1$	$y_3$	$y_4$	$y_4$

“match”

$x_2x_1x_5x_2x_1x_3x_4x_4 = y_2y_1y_5y_2y_1y_3y_4y_4$

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### Post Correspondence Problem

**Theorem:** PCP is undecidable.

**Proof:**

- reduce from  $A_{TM}$  (i.e. show  $A_{TM} \leq_m$  PCP)
- two step reduction makes it easier
- first, show  $A_{TM} \leq_m$  MPCP (MPCP = “modified PCP”)
- next, show  $MPCP \leq_m$  PCP

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### Post Correspondence Problem

MPCP =  $\{ \langle (x_1, y_1), (x_2, y_2), \dots, (x_k, y_k) \rangle : x_i, y_i \in \Sigma^* \text{ and there exists } (a_1, a_2, \dots, a_n) \text{ for which } x_{a_1}x_{a_2}\dots x_{a_n} = y_{a_1}y_{a_2}\dots y_{a_n} \}$

**Proof of  $MPCP \leq_m$  PCP:**

- notation: for a string  $u = u_1u_2u_3\dots u_m$ 
  - $*U$  means the string  $*u_1u_2u_3\dots u_m$
  - $U*$  means the string  $u_1u_2u_3\dots u_m*$
  - $*U*$  means the string  $*u_1u_2u_3\dots u_m*$

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### Post Correspondence Problem

**Proof of  $MPCP \leq_m$  PCP:**

- given an instance  $(x_1, y_1), \dots, (x_k, y_k)$  of MPCP
- produce an instance of PCP:
  - $(*x_1, *y_1*), (*x_1, y_1*), (*x_2, y_2*), \dots, (*x_k, y_k*), (*\square, \square)$
- YES maps to YES?
  - given a match in original MPCP instance, can produce a match in the new PCP instance
- NO maps to NO?
  - given a match in the new PCP instance, can produce a match in the original MPCP instance

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### Post Correspondence Problem

– YES maps to YES?

- given a match in original MPCP instance, can produce a match in the new PCP instance

x1	x4	x5	x2	x1	x3	x4	x4
y1	y4	y5	y2	y1	y3	y4	y4

* x1	* x4	* x5	* x2	* x1	* x3	* x4	* x4	* □
* y1*	Y4 *	y5 *	y2 *	y1 *	y3 *	y4 *	y4 *	□

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### Post Correspondence Problem

– NO maps to NO?

can't match unless start with this tile

- given a match in the new PCP instance, can produce a match in the original MPCP instance

* x1	* x4	* x5	* x2	* x1	* x3	* x4	* x4	* □
* y1*	Y4 *	y5 *	y2 *	y1 *	y3 *	y4 *	y4 *	□

x1	x4	x5	x2	x1	x3	x4	x4
y1	y4	y5	y2	y1	y3	y4	y4

\* symbols must align

can only appear at the end

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### Post Correspondence Problem

**Theorem:** PCP is undecidable.

Proof:

- show  $A_{TM} \leq_m$  MPCP

MPCP =  $\{(x_1, y_1), (x_2, y_2), \dots, (x_k, y_k)\}$  :  
 $x_i, y_i \in \Sigma^*$  and there exists  $(a_1, a_2, \dots, a_n)$  for which  $x_1 x_{a_1} x_{a_2} \dots x_{a_n} = y_1 y_{a_1} y_{a_2} \dots y_{a_n}$

- show  $MPCP \leq_m$  PCP

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### Post Correspondence Problem

Proof of  $A_{TM} \leq_m$  MPCP:

- given instance of  $A_{TM}$ :  $\langle M, w \rangle$
- idea: a match will record an accepting computation history for  $M$  on input  $w$
- start tile records starting configuration:
  - add tile  $(\#, \#q_0w_1w_2\dots w_n\#)$

#	#q <sub>0</sub> w <sub>1</sub> w <sub>2</sub> ...w <sub>n</sub> #
#	#C <sub>1</sub> #

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### Post Correspondence Problem

#	?	?	...	?	#C <sub>1</sub> #
#q <sub>0</sub> w <sub>1</sub> w <sub>2</sub> ...w <sub>n</sub> #	?	?	?	?	#C <sub>1</sub> #C <sub>2</sub> #

- tiles for head motions to the right:
 

qa
br

  - for all  $a, b \in \Gamma$  and all  $q, r \in Q$  with  $q \neq q_{reject}$ , if  $\delta(q, a) = (r, b, R)$ , add tile  $(qa, br)$
- tiles for head motions to the left:
 

cqa
rcb

  - for all  $a, b, c \in \Gamma$  and all  $q, r \in Q$  with  $q \neq q_{reject}$ , if  $\delta(q, a) = (r, b, L)$ , add tile  $(cqa, rcb)$

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### Post Correspondence Problem

#	?	?	...	?	#C <sub>1</sub> #
#q <sub>0</sub> w <sub>1</sub> w <sub>2</sub> ...w <sub>n</sub> #	?	?	?	?	#C <sub>1</sub> #C <sub>2</sub> #

- tiles for copying (not near head)
 

a
a

  - for all  $a \in \Gamma$ , add tile  $(a, a)$
- tiles for copying # marker
 

#
#

  - add tile  $(\#, \#)$
- tiles for copying # marker and adding \_ to end of tape
 

#
_#

  - add tile  $(\#, \_#)$

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### Post Correspondence Problem

$$\begin{array}{|c|} \hline \# \\ \hline \end{array} \begin{array}{|c|} \hline ? \\ \hline \end{array} \dots \begin{array}{|c|} \hline ? \\ \hline \end{array} = \begin{array}{|c|} \hline \#uaq_{\text{accept}}v\# \\ \hline \end{array}$$

$$\begin{array}{|c|} \hline \#uaq_{\text{accept}}v\# \\ \hline \end{array} \begin{array}{|c|} \hline ? \\ \hline \end{array} \dots \begin{array}{|c|} \hline ? \\ \hline \end{array} = \begin{array}{|c|} \hline \#uaq_{\text{accept}}v\#uaq_{\text{accept}}v\# \\ \hline \end{array}$$

- tiles for deleting symbols to left of  $q_{\text{accept}}$ 
  - for all  $a \in \Gamma$ , add tile  $(aq_{\text{accept}}, q_{\text{accept}})$

$$\begin{array}{|c|} \hline aq_{\text{accept}} \\ \hline q_{\text{accept}} \\ \hline \end{array}$$

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### Post Correspondence Problem

$$\begin{array}{|c|} \hline \# \\ \hline \end{array} \begin{array}{|c|} \hline ? \\ \hline \end{array} \dots \begin{array}{|c|} \hline ? \\ \hline \end{array} = \begin{array}{|c|} \hline \#q_{\text{accept}}av\# \\ \hline \end{array}$$

$$\begin{array}{|c|} \hline \#q_{\text{accept}}av\# \\ \hline \end{array} \begin{array}{|c|} \hline ? \\ \hline \end{array} \dots \begin{array}{|c|} \hline ? \\ \hline \end{array} = \begin{array}{|c|} \hline \#q_{\text{accept}}av\#q_{\text{accept}}v\# \\ \hline \end{array}$$

- tiles for deleting symbols to right of  $q_{\text{accept}}$ 
  - for all  $a \in \Gamma$ , add tile  $(q_{\text{accept}}a, q_{\text{accept}})$

$$\begin{array}{|c|} \hline q_{\text{accept}}a \\ \hline q_{\text{accept}} \\ \hline \end{array}$$

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### Post Correspondence Problem

$$\begin{array}{|c|} \hline \# \\ \hline \end{array} \begin{array}{|c|} \hline ? \\ \hline \end{array} \dots \begin{array}{|c|} \hline ? \\ \hline \end{array} = \begin{array}{|c|} \hline \#q_{\text{accept}}\#\#\ \\ \hline \end{array}$$

$$\begin{array}{|c|} \hline \#q_{\text{accept}}\#\ \\ \hline \end{array} \begin{array}{|c|} \hline ? \\ \hline \end{array} \dots \begin{array}{|c|} \hline ? \\ \hline \end{array} = \begin{array}{|c|} \hline \#q_{\text{accept}}\#\#\ \\ \hline \end{array}$$

- tiles for completing the match
  - for all  $a \in \Gamma$ , add tile  $(q_{\text{accept}}\#\#, \#)$

$$\begin{array}{|c|} \hline q_{\text{accept}}\#\#\ \\ \hline \# \\ \hline \end{array}$$

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### Post Correspondence Problem

- YES maps to YES?
  - by construction, if  $M$  accepts  $w$ , there is a way to assemble the tiles to achieve this match:

$$\begin{array}{|c|} \hline \#C_1\#C_2\#C_3\#\dots\#C_m\#\ \\ \hline \#C_1\#C_2\#C_3\#\dots\#C_m\#\ \\ \hline \end{array}$$

where  $\#C_1\#C_2\#C_3\#\dots\#C_m\#\$  is an accepting computation history

- NO maps to NO?
  - sketch: at any step if the "intended" next tile is not used, then it is impossible to recover and produce a match in the end (case analysis)

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### Post Correspondence Problem

We have proved:

**Theorem:** PCP is undecidable.

by showing:

- $A_{TM} \leq_m \text{MPCP}$
- $\text{MPCP} \leq_m \text{PCP}$
- conclude  $A_{TM} \leq_m \text{PCP}$

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### Beyond RE and co-RE

- We saw (by a counting argument) that there is ~~some~~ Therefore, not in co-RE that is ~~in co-RE~~ Therefore, not in RE
- We will prove this for a natural language:
 
$$EQ_{TM} = \{ \langle M_1, M_2 \rangle : L(M_1) = L(M_2) \}$$
- Recall:
  - $A_{TM}$  is undecidable, but RE
  - $\text{co-}A_{TM}$  is undecidable, but coRE

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### Beyond RE and co-RE

**Theorem:**  $EQ_{TM}$  is neither RE nor coRE.

**Proof:**

- not RE:
  - reduce from  $co-ATM$  (i.e. show  $co-ATM \leq_m EQ_{TM}$ )
  - what should  $f\langle M, w \rangle$  produce?
- not co-RE:
  - reduce from  $ATM$  (i.e. show  $ATM \leq_m EQ_{TM}$ )
  - what should  $f\langle M, w \rangle$  produce?

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### Beyond RE and co-RE

**Proof** ( $ATM \leq_m EQ_{TM}$ )

-  $f\langle M, w \rangle = \langle M_1, M_2 \rangle$  described below:

TM  $M_1$ : on input  $x$ ,

- accept

TM  $M_2$ : on input  $x$ ,

- simulate  $M$  on input  $w$
- accept if  $M$  accepts  $w$

- YES maps to YES?
  - $\langle M, w \rangle \in ATM \Rightarrow L(M_1) = \Sigma^*$
  - and  $L(M_2) = \Sigma^*$
  - $\Rightarrow f\langle M, w \rangle \in EQ_{TM}$
- NO maps to NO?
  - $\langle M, w \rangle \notin ATM \Rightarrow L(M_1) = \Sigma^*$
  - and  $L(M_2) = \emptyset$
  - $\Rightarrow f\langle M, w \rangle \notin EQ_{TM}$

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### Beyond RE and co-RE

**Proof** ( $co-ATM \leq_m EQ_{TM}$ )

-  $f\langle M, w \rangle = \langle M_1, M_2 \rangle$  described below:

TM  $M_1$ : on input  $x$ ,

- reject

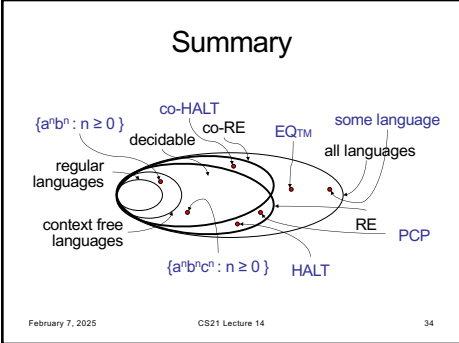
TM  $M_2$ : on input  $x$ ,

- simulate  $M$  on input  $w$
- accept if  $M$  accepts  $w$

- YES maps to YES?
  - $\langle M, w \rangle \in co-ATM$
  - $\Rightarrow L(M_1) = \emptyset$  and  $L(M_2) = \emptyset$
  - $\Rightarrow f\langle M, w \rangle \in EQ_{TM}$
- NO maps to NO?
  - $\langle M, w \rangle \notin co-ATM$
  - $\Rightarrow L(M_1) = \emptyset$  and  $L(M_2) = \Sigma^*$
  - $\Rightarrow f\langle M, w \rangle \notin EQ_{TM}$

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