

# CS21

# Decidability and Tractability

Lecture 12

February 2, 2018

# Outline

- undecidable problems
  - computation histories
  - surprising contrasts between decidable/undecidable
- Rice's Theorem
- Post Correspondence problem
- a non-RE and non-co-RE language
- the Recursion Theorem

# Dec. and undec. problems

- the boundary between decidability and undecidability is often quite delicate
  - seemingly related problems
  - one decidable
  - other undecidable
- We will see two examples of this phenomenon next.

# Computation histories

- Recall configuration of a TM: string  $uqv$  with  $u, v \in \Gamma^*$ ,  $q \in Q$
- The sequence of configurations  $M$  goes through on input  $w$  is a **computation history of  $M$  on input  $w$** 
  - may be *accepting*, or *rejecting*
  - reserve the term for halting computations
  - nondeterministic machines may have several computation histories for a given input.

# Linear Bounded Automata

LBA definition: TM that is prohibited from moving head off right side of input.

– machine prevents such a move, just like a TM prevents a move off left of tape

- How many possible configurations for a LBA  $M$  on input  $w$  with  $|w| = n$ ,  $m$  states, and  $p = |\Gamma|$  ?

– counting gives:  $mnp^n$

# Dec. and undec. problems

- two problems we have seen with respect to TMs, now regarding LBAs:
  - LBA acceptance:
$$A_{\text{LBA}} = \{ \langle M, w \rangle : \text{LBA } M \text{ accepts input } w \}$$
  - LBA emptiness:
$$E_{\text{LBA}} = \{ \langle M \rangle : \text{LBA } M \text{ has } L(M) = \emptyset \}$$
- Both decidable? both undecidable? one decidable?

# Dec. and undec. problems

**Theorem**:  $A_{\text{LBA}}$  is decidable.

Proof:

- input  $\langle M, w \rangle$  where  $M$  is a LBA
- key: only  $mnp^n$  configurations
- if  $M$  hasn't halted after this many steps, it must be looping forever.
- simulate  $M$  for  $mnp^n$  steps
- if it halts, accept or reject accordingly,
- else reject since it must be looping

# Dec. and undec. problems

**Theorem**:  $E_{LBA}$  is undecidable.

## **Proof:**

- reduce from  $\text{co-}A_{TM}$  (i.e. show  $\text{co-}A_{TM} \leq_m E_{LBA}$ )
- what should  $f(\langle M, w \rangle)$  produce?
- Idea:
  - produce LBA  $B$  that accepts exactly the **accepting computation histories** of  $M$  on input  $w$



# Dec. and undec. problems

## Proof:

–  $f(\langle M, w \rangle) = \langle B \rangle$  described below

on input  $x$ , check if  $x$  has form

$\#C_1\#C_2\#C_3\#\dots\#C_k\#$

- check that  $C_1$  is the start configuration for  $M$  on input  $w$
- check that  $C_i \Rightarrow^1 C_{i+1}$
- check that  $C_k$  is an accepting configuration for  $M$

• is  $B$  an LBA?

• is  $f$  computable?

• YES maps to YES?

$\langle M, w \rangle \in \text{CO-}A_{\text{TM}} \Rightarrow$   
 $f(M, w) \in E_{\text{LBA}}$

• NO maps to NO?

$\langle M, w \rangle \notin \text{CO-}A_{\text{TM}} \Rightarrow$   
 $f(M, w) \notin E_{\text{LBA}}$

# Rice's Theorem

- We have seen that the following properties of TM's are undecidable:
  - TM accepts string  $w$
  - TM halts on input  $w$
  - TM accepts the empty language
  - TM accepts a regular language
- Can we describe a single generic reduction for all these proofs?
- Yes. *Every* property of TMs undecidable!

# Rice's Theorem

- A TM **property** is a language  $P$  for which
  - if  $L(M_1) = L(M_2)$  then  $\langle M_1 \rangle \in P$  iff  $\langle M_2 \rangle \in P$
- TM property  $P$  is **nontrivial** if
  - there exists a TM  $M_1$  for which  $\langle M_1 \rangle \in P$ , and
  - there exists a TM  $M_2$  for which  $\langle M_2 \rangle \notin P$ .

**Rice's Theorem**: Every nontrivial TM property is undecidable.

# Rice's Theorem

- The setup:
  - let  $T_\emptyset$  be a TM for which  $L(T_\emptyset) = \emptyset$ 
    - technicality: if  $\langle T_\emptyset \rangle \in P$  then work with property  $\text{co-}P$  instead of  $P$ .
    - conclude  $\text{co-}P$  undecidable; therefore  $P$  undec. due to closure under complement
  - so, WLOG, assume  $\langle T_\emptyset \rangle \notin P$
  - non-triviality ensures existence of TM  $M_1$  such that  $\langle M_1 \rangle \in P$

# Rice's Theorem

## Proof:

- reduce from  $A_{\text{TM}}$  (i.e. show  $A_{\text{TM}} \leq_m P$ )
- what should  $f(\langle M, w \rangle)$  produce?
- $f(\langle M, w \rangle) = \langle M' \rangle$  described below:

on input  $x$ ,

- accept **iff**  $M$  accepts  $w$   
**and**  $M_1$  accepts  $x$

(intersection of two RE languages)

- $f$  computable?
- YES maps to YES?

$$\begin{aligned} \langle M, w \rangle \in A_{\text{TM}} &\Rightarrow \\ L(f(M, w)) = L(M_1) &\Rightarrow \\ f(M, w) \in P & \end{aligned}$$

# Rice's Theorem

## Proof:

- reduce from  $A_{\text{TM}}$  (i.e. show  $A_{\text{TM}} \leq_m P$ )
- what should  $f(\langle M, w \rangle)$  produce?
- $f(\langle M, w \rangle) = \langle M' \rangle$  described below:

on input  $x$ ,

- accept **iff**  $M$  accepts  $w$   
**and**  $M_1$  accepts  $x$

(intersection of two RE languages)

- NO maps to NO?

$$\begin{aligned} \langle M, w \rangle \notin A_{\text{TM}} &\Rightarrow \\ L(f(M, w)) = L(T_\emptyset) &\Rightarrow \\ f(M, w) &\notin P \end{aligned}$$

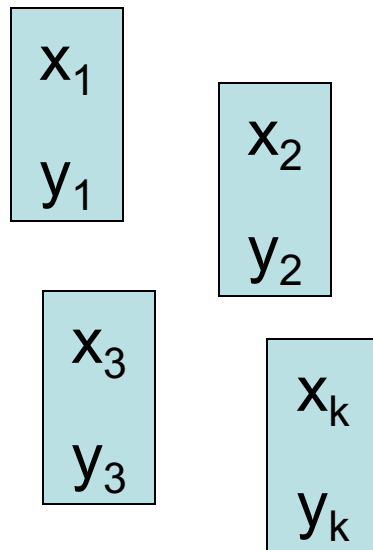
# Post Correspondence Problem

- many undecidable problems unrelated to TMs and automata
- classic example: Post Correspondence Problem

$$\text{PCP} = \{ \langle (x_1, y_1), (x_2, y_2), \dots, (x_k, y_k) \rangle : \\ x_i, y_i \in \Sigma^* \text{ and there exists } (a_1, a_2, \dots, a_n) \text{ for} \\ \text{which } x_{a_1} x_{a_2} \dots x_{a_n} = y_{a_1} y_{a_2} \dots y_{a_n} \}$$

# Post Correspondence Problem

PCP =  $\{ \langle (x_1, y_1), (x_2, y_2), \dots, (x_k, y_k) \rangle : x_i, y_i \in \Sigma^* \text{ and there exists } (a_1, a_2, \dots, a_n) \text{ for which } x_{a_1}x_{a_2}\dots x_{a_n} = y_{a_1}y_{a_2}\dots y_{a_n} \}$



“tiles”

$x_2$	$x_1$	$x_5$	$x_2$	$x_1$	$x_3$	$x_4$	$x_4$
$y_2$	$y_1$	$y_5$	$y_2$	$y_1$	$y_3$	$y_4$	$y_4$

$$x_2x_1x_5x_2x_1x_3x_4x_4 = y_2y_1y_5y_2y_1y_3y_4y_4$$

“match”



# Post Correspondence Problem

**Theorem**: PCP is undecidable.

Proof:

- reduce from  $A_{TM}$  (i.e. show  $A_{TM} \leq_m \text{PCP}$ )
- two step reduction makes it easier
- first, show  $A_{TM} \leq_m \text{MPCP}$   
(MPCP = “modified PCP”)
- next, show  $\text{MPCP} \leq_m \text{PCP}$

# Post Correspondence Problem

MPCP =  $\{ \langle (x_1, y_1), (x_2, y_2), \dots, (x_k, y_k) \rangle : x_i, y_i \in \Sigma^* \text{ and there exists } (a_1, a_2, \dots, a_n) \text{ for which } x_1 x_{a_1} x_{a_2} \dots x_{a_n} = y_1 y_{a_1} y_{a_2} \dots y_{a_n} \}$

## Proof of $\text{MPCP} \leq_m \text{PCP}$ :

– notation: for a string  $u = u_1 u_2 u_3 \dots u_m$

- $*u$  means the string  $*u_1 *u_2 *u_3 *u_4 \dots *u_m$
- $u*$  means the string  $u_1 *u_2 *u_3 *u_4 \dots *u_m *$
- $*u*$  means the string  $*u_1 *u_2 *u_3 *u_4 \dots *u_m *$

# Post Correspondence Problem

Proof of  $\text{MPCP} \leq_m \text{PCP}$ :

- given an instance  $(x_1, y_1), \dots, (x_k, y_k)$  of MPCP
- produce an instance of PCP:  
 $(*x_1, *y_1*), (*x_1, y_1*), (*x_2, y_2*), \dots, (*x_k, y_k*), (*\diamond, \diamond)$
- YES maps to YES?
  - given a match in original MPCP instance, can produce a match in the new PCP instance
- NO maps to NO?
  - given a match in the new PCP instance, can produce a match in the original MPCP instance

# Post Correspondence Problem

- YES maps to YES?
  - given a match in original MPCP instance, can produce a match in the new PCP instance

$x_1$	$x_4$	$x_5$	$x_2$	$x_1$	$x_3$	$x_4$	$x_4$
$y_1$	$y_4$	$y_5$	$y_2$	$y_1$	$y_3$	$y_4$	$y_4$

$*x_1$	$*x_4$	$*x_5$	$*x_2$	$*x_1$	$*x_3$	$*x_4$	$*x_4$	$*\blacklozenge$
$*y_1*$	$y_4*$	$y_5*$	$y_2*$	$y_1*$	$y_3*$	$y_4*$	$y_4*$	$\blacklozenge$

# Post Correspondence Problem

– NO maps to NO?

can't match unless start with this tile

- given a match in the new PCP instance, can produce a match in the original MPCP instance

*X <sub>1</sub>	*X <sub>4</sub>	*X <sub>5</sub>	*X <sub>2</sub>	*X <sub>1</sub>	*X <sub>3</sub>	*X <sub>4</sub>	*X <sub>4</sub>	*◇
*y <sub>1</sub> *	y <sub>4</sub> *	y <sub>5</sub> *	y <sub>2</sub> *	y <sub>1</sub> *	y <sub>3</sub> *	y <sub>4</sub> *	y <sub>4</sub> *	◇

X <sub>1</sub>	X <sub>4</sub>	X <sub>5</sub>	X <sub>2</sub>	X <sub>1</sub>	X <sub>3</sub>	X <sub>4</sub>	X <sub>4</sub>
y <sub>1</sub>	y <sub>4</sub>	y <sub>5</sub>	y <sub>2</sub>	y <sub>1</sub>	y <sub>3</sub>	y <sub>4</sub>	y <sub>4</sub>

“\*” symbols must align

can only appear at the end

# Post Correspondence Problem

**Theorem**: PCP is undecidable.

Proof:

– show  $A_{TM} \leq_m \text{MPCP}$

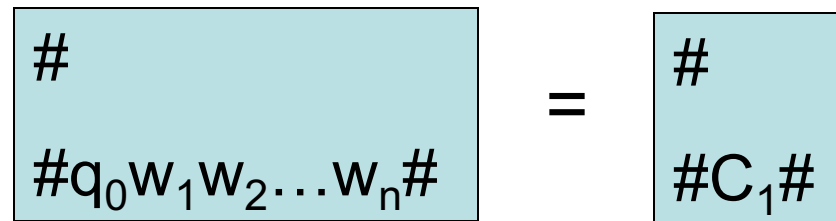
$\text{MPCP} = \{ \langle (x_1, y_1), (x_2, y_2), \dots, (x_k, y_k) \rangle :$   
 $x_i, y_i \in \Sigma^* \text{ and there exists } (a_1, a_2, \dots, a_n) \text{ for}$   
 $\text{which } x_1 x_{a_1} x_{a_2} \dots x_{a_n} = y_1 y_{a_1} y_{a_2} \dots y_{a_n} \}$

– show  $\text{MPCP} \leq_m \text{PCP}$  

# Post Correspondence Problem

Proof of  $A_{TM} \leq_m \text{MPCP}$ :

- given instance of  $A_{TM}$ :  $\langle M, w \rangle$
- idea: a match will record an accepting computation history for  $M$  on input  $w$
- start tile records starting configuration:
  - add tile  $(\#, \#q_0w_1w_2w_3\dots w_n\#)$

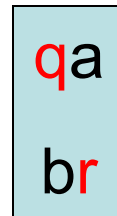


# Post Correspondence Problem

$$\begin{array}{|c|} \hline \# \\ \hline \# q_0 w_1 w_2 \dots w_n \# \\ \hline \end{array}
 \begin{array}{|c|} \hline ? \\ \hline ? \\ \hline \end{array}
 \begin{array}{|c|} \hline ? \\ \hline ? \\ \hline \end{array}
 \dots
 \begin{array}{|c|} \hline ? \\ \hline ? \\ \hline \end{array}
 =
 \begin{array}{|c|} \hline \#C_1\# \\ \hline \#C_1\#C_2\# \\ \hline \end{array}$$

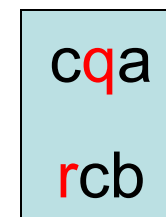
– tiles for head motions to the right:

- for all  $a, b \in \Gamma$  and all  $q, r \in Q$  with  $q \neq q_{\text{reject}}$ , if  $\delta(q, a) = (r, b, R)$ , add tile  $(qa, br)$



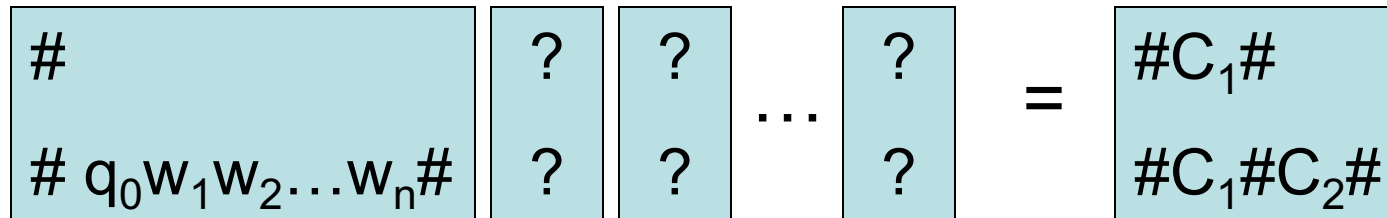
– tiles for head motions to the left:

- for all  $a, b, c \in \Gamma$  and all  $q, r \in Q$  with  $q \neq q_{\text{reject}}$ , if  $\delta(q, a) = (r, b, L)$ , add tile  $(cqa, rcb)$



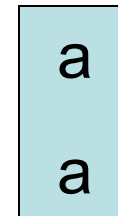


# Post Correspondence Problem



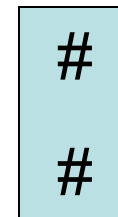
– tiles for copying (not near head)

- for all  $a \in \Gamma$ , add tile  $(a, a)$



– tiles for copying # marker

- add tile  $(\#, \#)$



– tiles for copying # marker and adding \_ to end of tape

- add tile  $(\#, \_ \#)$



# Post Correspondence Problem

$$\begin{array}{|c|} \hline \# \\ \hline \#uaq_{\text{accept}}v\# \\ \hline \end{array}
 \begin{array}{|c|} \hline ? \\ \hline ? \\ \hline \end{array}
 \dots
 \begin{array}{|c|} \hline ? \\ \hline ? \\ \hline \end{array}
 =
 \begin{array}{|c|} \hline \#uaq_{\text{accept}}v\# \\ \hline \#uaq_{\text{accept}}v\#uq_{\text{accept}}v\# \\ \hline \end{array}$$

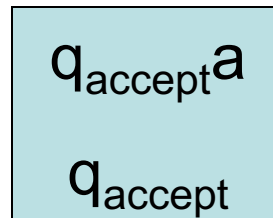
- tiles for deleting symbols to left of  $q_{\text{accept}}$ 
  - for all  $a \in \Gamma$ , add tile  $(aq_{\text{accept}}, q_{\text{accept}})$

$$\begin{array}{|c|} \hline aq_{\text{accept}} \\ \hline q_{\text{accept}} \\ \hline \end{array}$$

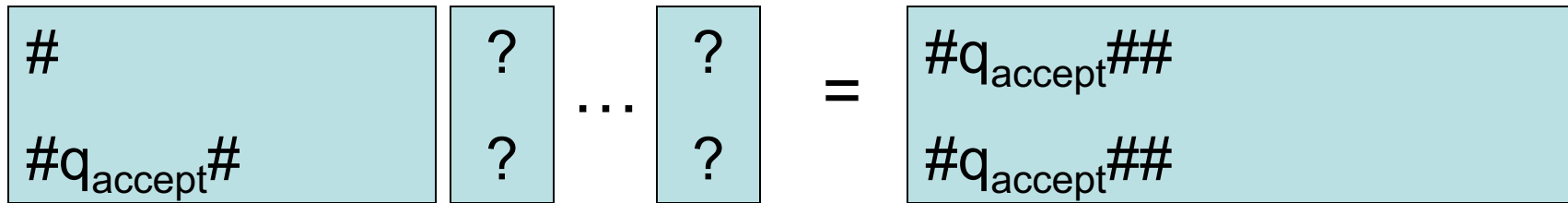
# Post Correspondence Problem

$$\begin{array}{|c|} \hline \# \\ \hline \#q_{\text{accept}}av\# \\ \hline \end{array}
 \begin{array}{|c|} \hline ? \\ \hline ? \\ \hline \end{array}
 \dots
 \begin{array}{|c|} \hline ? \\ \hline ? \\ \hline \end{array}
 =
 \begin{array}{|c|} \hline \#q_{\text{accept}}av\# \\ \hline \#q_{\text{accept}}av\#q_{\text{accept}}v\# \\ \hline \end{array}$$

- tiles for deleting symbols to right of  $q_{\text{accept}}$ 
  - for all  $a \in \Gamma$ , add tile  $(q_{\text{accept}}a, q_{\text{accept}})$

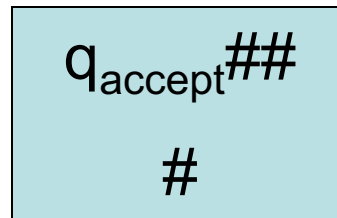


# Post Correspondence Problem



– tiles for completing the match

- for all  $a \in \Gamma$ , add tile  $(q_{\text{accept}}##, \#)$



# Post Correspondence Problem

– YES maps to YES?

- by construction, if  $M$  accepts  $w$ , there is a way to assemble the tiles to achieve this match:

$\#C_1\#C_2\#C_3\#\dots\#C_m\#$
$\#C_1\#C_2\#C_3\#\dots\#C_m\#$

where  $\#C_1\#C_2\#C_3\#\dots\#C_m\#$  is an accepting computation history

– NO maps to NO?

- sketch: at any step if the “intended” next tile is not used, then it is impossible to recover and produce a match in the end (case analysis)

# Post Correspondence Problem

We have proved:

**Theorem**: PCP is undecidable.

by showing:

- $A_{\text{TM}} \leq_m \text{MPCP}$
- $\text{MPCP} \leq_m \text{PCP}$
- conclude  $A_{\text{TM}} \leq_m \text{PCP}$