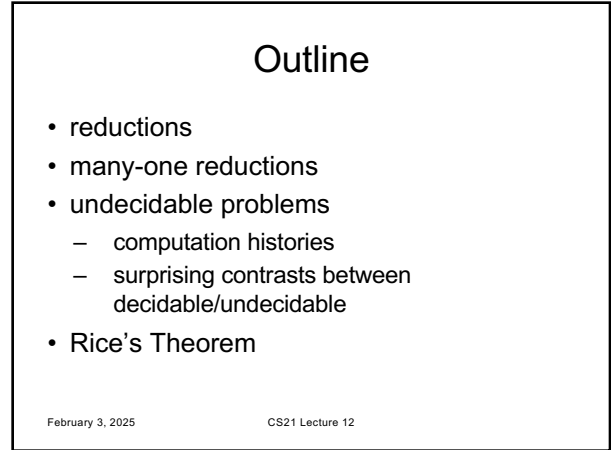




# CS21 Decidability and Tractability

Lecture 12  
February 3,  
2025

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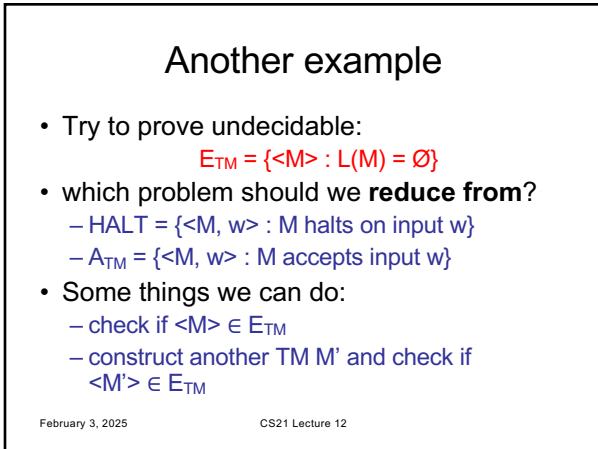
## Outline

- reductions
- many-one reductions
- undecidable problems
  - computation histories
  - surprising contrasts between decidable/undecidable
- Rice's Theorem

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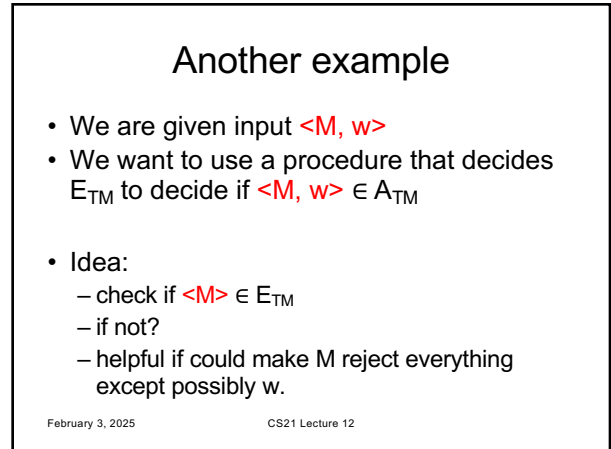
## Another example

- Try to prove undecidable:
  - $E_{TM} = \{ \langle M \rangle : L(M) = \emptyset \}$
- which problem should we **reduce from**?
  - $HALT = \{ \langle M, w \rangle : M \text{ halts on input } w \}$
  - $A_{TM} = \{ \langle M, w \rangle : M \text{ accepts input } w \}$
- Some things we can do:
  - check if  $\langle M \rangle \in E_{TM}$
  - construct another TM  $M'$  and check if  $\langle M' \rangle \in E_{TM}$

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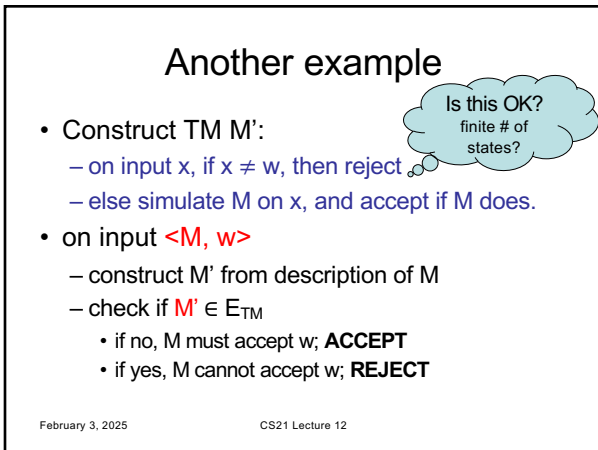
## Another example

- We are given input  $\langle M, w \rangle$
- We want to use a procedure that decides  $E_{TM}$  to decide if  $\langle M, w \rangle \in A_{TM}$
- Idea:
  - check if  $\langle M \rangle \in E_{TM}$
  - if not?
  - helpful if could make  $M$  reject everything except possibly  $w$ .

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## Another example

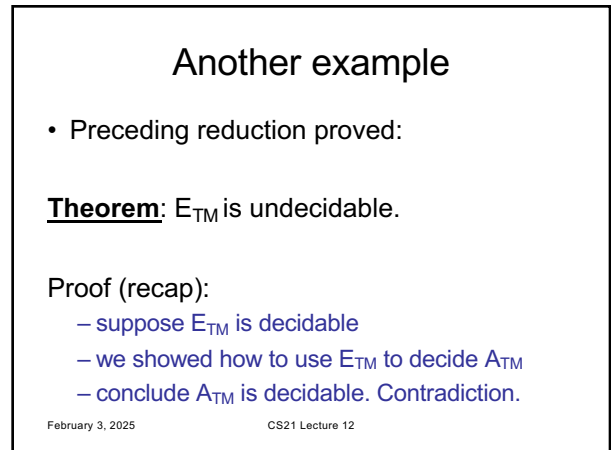
- Construct TM  $M'$ :
  - on input  $x$ , if  $x \neq w$ , then reject
  - else simulate  $M$  on  $x$ , and accept if  $M$  does.
- on input  $\langle M, w \rangle$ 
  - construct  $M'$  from description of  $M$
  - check if  $\langle M' \rangle \in E_{TM}$ 
    - if no,  $M$  must accept  $w$ ; **ACCEPT**
    - if yes,  $M$  cannot accept  $w$ ; **REJECT**

Is this OK?  
finite # of  
states?

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## Another example

- Preceding reduction proved:

**Theorem:**  $E_{TM}$  is undecidable.

Proof (recap):

- suppose  $E_{TM}$  is decidable
- we showed how to use  $E_{TM}$  to decide  $A_{TM}$
- conclude  $A_{TM}$  is decidable. Contradiction.

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## Example reduction

- We proved
  - $A_{TM} = \{ \langle M, w \rangle : M \text{ accepts input } w \}$
  - undecidable, by reduction from
  - $HALT = \{ \langle M, w \rangle : M \text{ halts on input } w \}$
- We proved
  - $E_{TM} = \{ \langle M \rangle : L(M) = \emptyset \}$
  - undecidable by reduction from  $A_{TM}$

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## Definition of reduction

- Can you reduce co-HALT to HALT?
- We know that HALT is RE
- Does this show that co-HALT is RE?
  - recall, we showed co-HALT is not RE
- our current notion of reduction cannot distinguish complements

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## Definition of reduction

- More refined notion of reduction:
  - “many-one” reduction (commonly)
  - “mapping” reduction (book)

reduction from language A to language B

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## Definition of reduction

- function  $f$  should be **computable**

**Definition:**  $f : \Sigma^* \rightarrow \Sigma^*$  is **computable** if there exists a TM  $M_f$  such that on every  $w \in \Sigma^*$   $M_f$  halts on  $w$  with  $f(w)$  written on its tape.

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## Definition of reduction

- Notation: “A many-one reduces to B” is written
  - $A \leq_m B$
  - “yes maps to yes and no maps to no” means:  $w \in A$  maps to  $f(w) \in B$  &  $w \notin A$  maps to  $f(w) \notin B$
- B is at least as “hard” as A
  - more accurate: B at least as “expressive” as A

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## Using reductions

**Definition:**  $A \leq_m B$  if there is a computable function  $f$  such that for all  $w$

$$w \in A \Leftrightarrow f(w) \in B$$

**Theorem:** if  $A \leq_m B$  and B is decidable then A is decidable

**Proof:**

- decider for A: on input  $w$ , compute  $f(w)$ , run decider for B, do whatever it does.

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## Using reductions

- Main use: given language NEW, prove it is **undecidable** by showing  $OLD \leq_m NEW$ , where OLD known to be **undecidable**
  - proof by contradiction
  - if NEW decidable, then OLD decidable
  - OLD undecidable. Contradiction.
- common to reduce in wrong direction.
- review this argument to check yourself.

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## Using reductions

**Theorem:** if  $A \leq_m B$  and B is RE then A is RE

**Proof:**

- TM for recognizing A: on input w, compute f(w), run TM that recognizes B, do whatever it does.

- Main use: given language NEW, prove it is **not RE** by showing  $OLD \leq_m NEW$ , where OLD known to be **not RE**.

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## Many-one reduction example

- Showed  $E_{TM}$  undecidable. Consider:  
 $co-E_{TM} = \{ \langle M \rangle : L(M) \neq \emptyset \}$

- $f(\langle M, w \rangle) = \langle M' \rangle$  where  $M'$  is TM that
  - on input x, if  $x \neq w$ , then reject
  - else simulate M on x, and accept if M does
- f clearly computable

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## Many-one reduction example

- $f(\langle M, w \rangle) = \langle M' \rangle$  where  $M'$  is TM that
  - on input x, if  $x \neq w$ , then reject
  - else simulate M on x, and accept if M does
- f clearly computable

- yes maps to yes?
  - if  $\langle M, w \rangle \in A_{TM}$  then  $f(M, w) \in co-E_{TM}$
- no maps to no?
  - if  $\langle M, w \rangle \notin A_{TM}$  then  $f(M, w) \notin co-E_{TM}$

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## Undecidable problems

**Theorem:** The language  
 $REGULAR = \{ \langle M \rangle : M \text{ is a TM and } L(M) \text{ is regular} \}$   
is undecidable.

**Proof:**

- reduce from  $A_{TM}$  (i.e. show  $A_{TM} \leq_m REGULAR$ )
- what should  $f(\langle M, w \rangle)$  produce?

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## Undecidable problems

**Proof:**

- $f(\langle M, w \rangle) = \langle M' \rangle$  described below

on input x:

- if x has form  $0^n 1^n$ , accept
- else simulate M on w and accept x if M accepts

- is f computable?
- YES maps to YES?
  - $\langle M, w \rangle \in A_{TM} \Rightarrow f(M, w) \in REGULAR$
- NO maps to NO?
  - $\langle M, w \rangle \notin A_{TM} \Rightarrow f(M, w) \notin REGULAR$

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## Dec. and undec. problems

- the boundary between decidability and undecidability is often quite delicate
  - seemingly related problems
  - one decidable
  - other undecidable
- We will see two examples of this phenomenon next.

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