

# CS21

# Decidability and Tractability

Lecture 11  
January 31, 2018

# Outline

- reductions
- many-one reductions
- undecidable problems
  - computation histories
  - surprising contrasts between decidable/undecidable

# Reductions

- Given a new problem NEW, want to determine if it is easy or hard
  - right now, easy typically means decidable
  - right now, hard typically means undecidable
- One option:
  - prove from scratch that the problem is decidable, or
  - prove from scratch that the problem is undecidable (dream up a diag. argument)

# Reductions

- A better option:
  - to prove **NEW** is decidable, show how to transform it into a known decidable problem **OLD** so that solution to **OLD** can be used to solve **NEW**.
  - to prove **NEW** is undecidable, show how to transform a known undecidable problem **OLD** into **NEW** so that solution to **NEW** can be used to solve **OLD**.
- called a **reduction**

# Example reduction

- Try to prove undecidable:

$$A_{TM} = \{ \langle M, w \rangle : M \text{ accepts input } w \}$$

- We know this language is undecidable:

$$HALT = \{ \langle M, w \rangle : M \text{ halts on input } w \}$$

- Idea:

- suppose  $A_{TM}$  is decidable
- show that we can use  $A_{TM}$  to decide HALT
- conclude HALT is decidable. Contradiction.

reduction

# Example reduction

- How could we use procedure that decides  $A_{TM}$  to decide HALT?
  - given input to HALT:  $\langle M, w \rangle$
- Some things we can do:
  - check if  $\langle M, w \rangle \in A_{TM}$
  - construct another TM  $M'$  and check if  $\langle M', w \rangle \in A_{TM}$

# Example reduction

- Deciding HALT using a procedure that decides  $A_{TM}$  (“reducing HALT to  $A_{TM}$ ”).
  - on input  $\langle M, w \rangle$
  - check if  $\langle M, w \rangle \in A_{TM}$ 
    - if yes, the  $M$  halts on  $w$ ; **ACCEPT**
    - if no, then  $M$  either rejects  $w$  or it loops on  $w$
  - construct  $M'$  by swapping  $q_{\text{accept}}/q_{\text{reject}}$  in  $M$
  - check if  $\langle M', w \rangle \in A_{TM}$ 
    - if yes, then  $M'$  accepts  $w$ , so  $M$  rejects  $w$ ; **ACCEPT**
    - if no, then  $M$  neither accepts nor rejects  $w$ ; **REJECT**

# Example reduction

- Preceding reduction proved:

**Theorem**:  $A_{TM}$  is undecidable.

Proof (recap):

- suppose  $A_{TM}$  is decidable
- we showed how to use  $A_{TM}$  to decide HALT
- conclude HALT is decidable. Contradiction.



# Another example

- Try to prove undecidable:

$$E_{TM} = \{ \langle M \rangle : L(M) = \emptyset \}$$

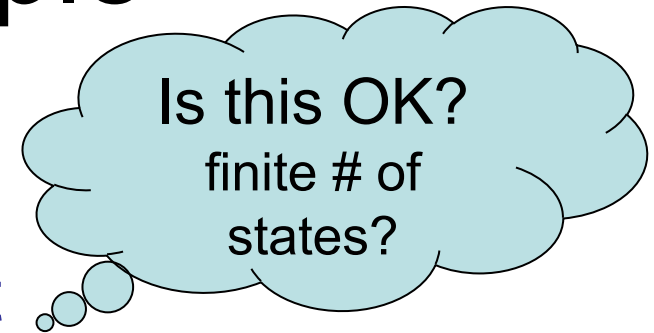
- which problem should we **reduce from**?
  - $HALT = \{ \langle M, w \rangle : M \text{ halts on input } w \}$
  - $A_{TM} = \{ \langle M, w \rangle : M \text{ accepts input } w \}$
- Some things we can do:
  - check if  $\langle M \rangle \in E_{TM}$
  - construct another TM  $M'$  and check if  $\langle M' \rangle \in E_{TM}$

# Another example

- We are given input  $\langle M, w \rangle$
- We want to use a procedure that decides  $E_{TM}$  to decide if  $\langle M, w \rangle \in A_{TM}$
- Idea:
  - check if  $\langle M \rangle \in E_{TM}$
  - if not?
  - helpful if could make  $M$  reject everything except possibly  $w$ .

# Another example

- Construct TM  $M'$ :
  - on input  $x$ , if  $x \neq w$ , then reject
  - else simulate  $M$  on  $x$ , and accept if  $M$  does.
- on input  $\langle M, w \rangle$ 
  - construct  $M'$  from description of  $M$
  - check if  $M' \in E_{TM}$ 
    - if no,  $M$  must accept  $w$ ; **ACCEPT**
    - if yes,  $M$  cannot accept  $w$ ; **REJECT**



# Another example

- Preceding reduction proved:

**Theorem**:  $E_{TM}$  is undecidable.

Proof (recap):

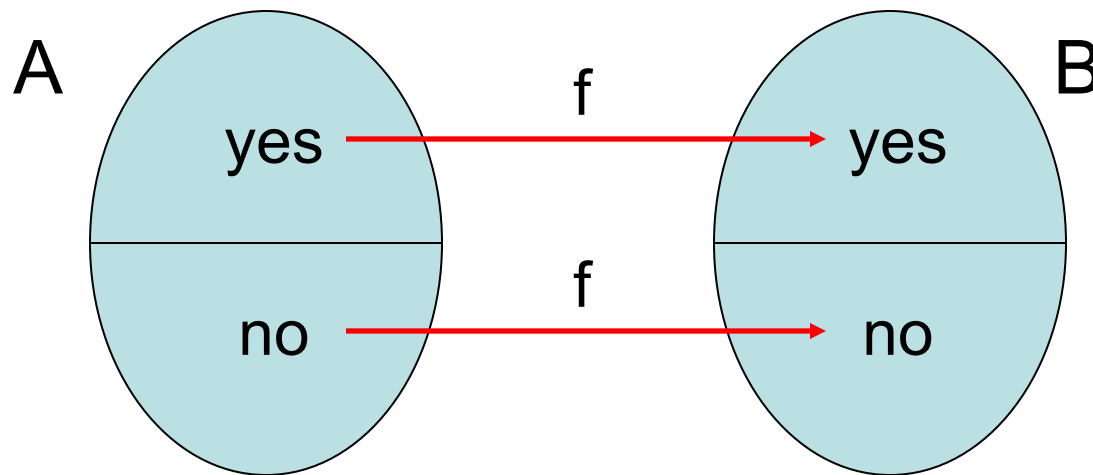
- suppose  $E_{TM}$  is decidable
- we showed how to use  $E_{TM}$  to decide  $A_{TM}$
- conclude  $A_{TM}$  is decidable. Contradiction.

# Definition of reduction

- Can you reduce co-HALT to HALT?
- We know that HALT is RE
- Does this show that co-HALT is RE?
  - recall, we showed co-HALT is not RE
- our current notion of reduction cannot distinguish complements

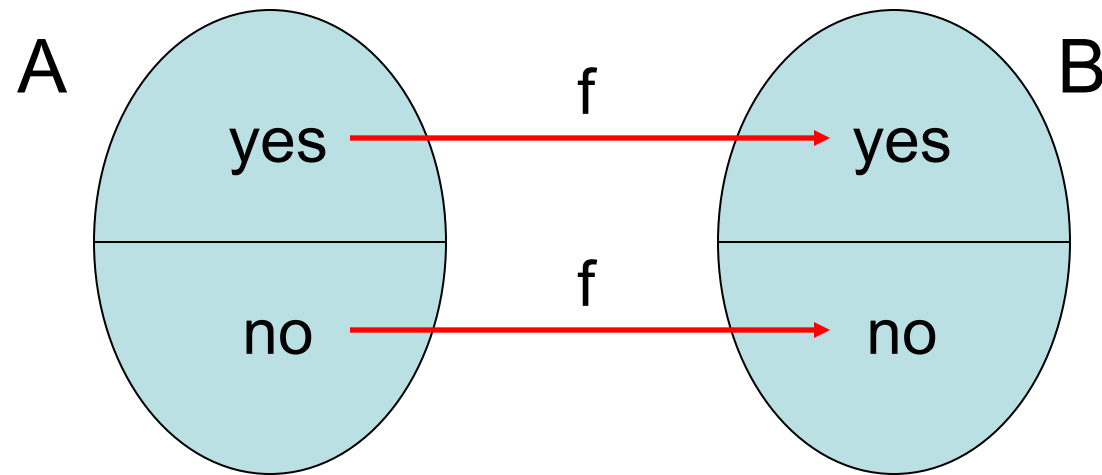
# Definition of reduction

- More refined notion of reduction:
  - “many-one” reduction (commonly)
  - “mapping” reduction (book)



reduction from  
language A to  
language B

# Definition of reduction



- function  $f$  should be **computable**

**Definition:**  $f : \Sigma^* \rightarrow \Sigma^*$  is **computable** if there exists a TM  $M_f$  such that on every  $w \in \Sigma^*$   $M_f$  halts on  $w$  with  $f(w)$  written on its tape.

# Definition of reduction

- Notation: “A many-one reduces to B” is written

$$A \leq_m B$$

– “yes maps to yes and no maps to no” means:

$w \in A$  maps to  $f(w) \in B$  &  $w \notin A$  maps to  $f(w) \notin B$

- B is at least as “hard” as A
  - more accurate: B at least as “expressive” as A



# Using reductions

**Definition:**  $A \leq_m B$  if there is a computable function  $f$  such that for all  $w$

$$w \in A \Leftrightarrow f(w) \in B$$

**Theorem:** if  $A \leq_m B$  and  $B$  is decidable then  $A$  is decidable

**Proof:**

- decider for  $A$ : on input  $w$ , compute  $f(w)$ , run decider for  $B$ , do whatever it does.

# Using reductions

- Main use: given language NEW, prove it is **und**ecidable by showing  $OLD \leq_m NEW$ , where OLD known to be **und**ecidable
  - proof by contradiction
  - if NEW decidable, then OLD decidable
  - OLD undecidable. Contradiction.
- common to reduce in wrong direction.
- review this argument to check yourself.

# Using reductions

**Theorem**: if  $A \leq_m B$  and  $B$  is RE then  $A$  is RE

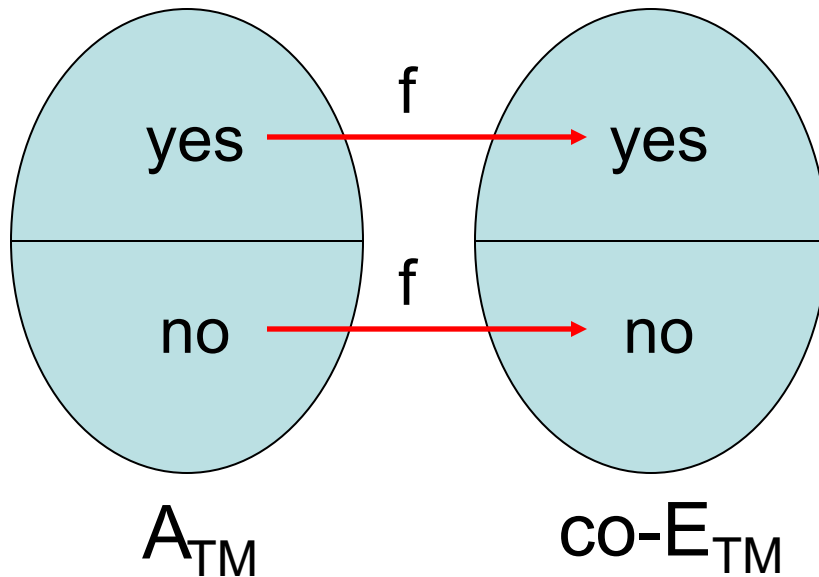
**Proof:**

- TM for recognizing  $A$ : on input  $w$ , compute  $f(w)$ , run TM that recognizes  $B$ , do whatever it does.
- Main use: given language  $NEW$ , prove it is **not** RE by showing  $OLD \leq_m NEW$ , where  $OLD$  known to be **not** RE.

# Many-one reduction example

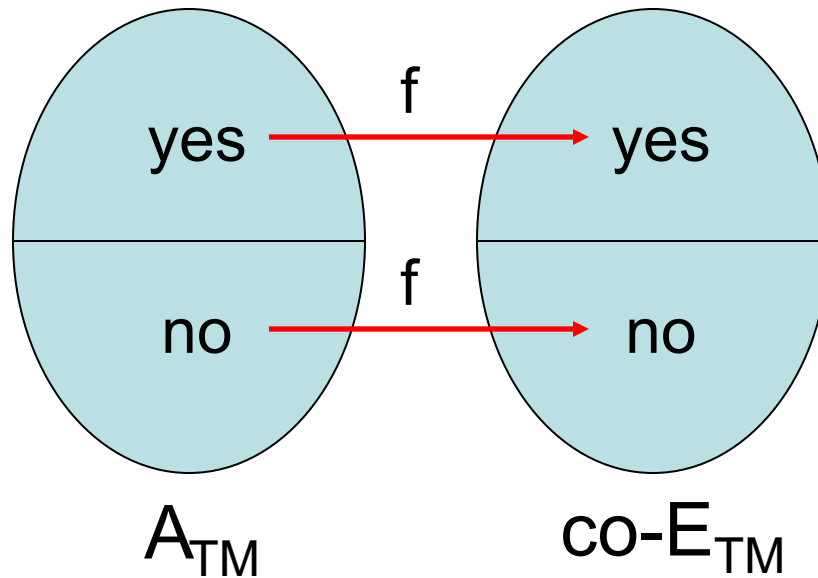
- Showed  $E_{TM}$  undecidable. Consider:

$$\text{co-}E_{TM} = \{ \langle M \rangle : L(M) \neq \emptyset \}$$



- $f(\langle M, w \rangle) = \langle M' \rangle$   
where  $M'$  is TM that
  - on input  $x$ , if  $x \neq w$ , then reject
  - else simulate  $M$  on  $x$ , and accept if  $M$  does
- $f$  clearly computable

# Many-one reduction example



- $f(\langle M, w \rangle) = \langle M' \rangle$   
where  $M'$  is TM that
  - on input  $x$ , if  $x \neq w$ , then reject
  - else simulate  $M$  on  $x$ , and accept if  $M$  does

- $f$  clearly computable
- yes maps to yes?
  - if  $\langle M, w \rangle \in A_{TM}$  then  $f(M, w) \in co-E_{TM}$
- no maps to no?
  - if  $\langle M, w \rangle \notin A_{TM}$  then  $f(M, w) \notin co-E_{TM}$

# Undecidable problems

**Theorem:** The language

$\text{REGULAR} = \{ \langle M \rangle : M \text{ is a TM and } L(M) \text{ is regular} \}$

is undecidable.

**Proof:**

- reduce from  $A_{\text{TM}}$  (i.e. show  $A_{\text{TM}} \leq_m \text{REGULAR}$ )
- what should  $f(\langle M, w \rangle)$  produce?

# Undecidable problems

## Proof:

–  $f(\langle M, w \rangle) = \langle M' \rangle$  described below

on input  $x$ :

- if  $x$  has form  $0^n 1^n$ , accept
- else simulate  $M$  on  $w$  and accept  $x$  if  $M$  accepts

- is  $f$  computable?
- YES maps to YES?

$$\langle M, w \rangle \in A_{TM} \Rightarrow f(M, w) \in \text{REGULAR}$$

- NO maps to NO?

$$\langle M, w \rangle \notin A_{TM} \Rightarrow f(M, w) \notin \text{REGULAR}$$

# Dec. and undec. problems

- the boundary between decidability and undecidability is often quite delicate
  - seemingly related problems
  - one decidable
  - other undecidable
- We will see two examples of this phenomenon next.



# Computation histories

- Recall configuration of a TM: string  $uqv$  with  $u, v \in \Gamma^*$ ,  $q \in Q$
- The sequence of configurations  $M$  goes through on input  $w$  is a **computation history of  $M$  on input  $w$** 
  - may be *accepting*, or *rejecting*
  - reserve the term for halting computations
  - nondeterministic machines may have several computation histories for a given input.

# Linear Bounded Automata

LBA definition: TM that is prohibited from moving head off right side of input.

– machine prevents such a move, just like a TM prevents a move off left of tape

- How many possible configurations for a LBA  $M$  on input  $w$  with  $|w| = n$ ,  $m$  states, and  $p = |\Gamma|$  ?

– counting gives:  $mnp^n$

# Dec. and undec. problems

- two problems we have seen with respect to TMs, now regarding LBAs:
  - LBA acceptance:  
$$A_{\text{LBA}} = \{ \langle M, w \rangle : \text{LBA } M \text{ accepts input } w \}$$
  - LBA emptiness:  
$$E_{\text{LBA}} = \{ \langle M \rangle : \text{LBA } M \text{ has } L(M) = \emptyset \}$$
- Both decidable? both undecidable? one decidable?