



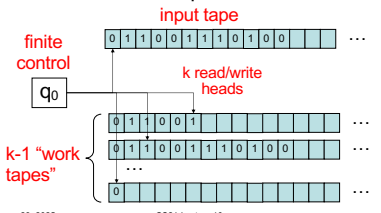
CS21  
Decidability  
and  
Tractability

Lecture 10  
January 29,  
2025

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### Multitape TMs

- A useful variant: k-tape TM



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### Multitape TMs

**Theorem:** every k-tape TM has an equivalent single-tape TM.

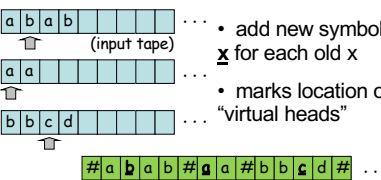
Proof:  
– Idea: simulate k-tape TM on a 1-tape TM.

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### Multitape TMs

simulation of k-tape TM by single-tape TM:

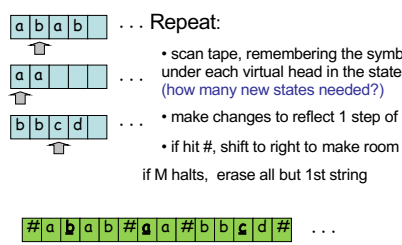


- add new symbol  $x$  for each old  $x$
- marks location of "virtual heads"

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### Multitape TMs



... Repeat:

- scan tape, remembering the symbols under each virtual head in the state (how many new states needed?)
- make changes to reflect 1 step of M
- if hit #, shift to right to make room

if M halts, erase all but 1st string

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### Nondeterministic TMs

- A important variant: **nondeterministic TM**
- informally, several possible next configurations at each step
- formally, a NTM is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$  where:
  - everything is the same as a TM except the transition function:
 
$$\delta: Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$$

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### NTM acceptance

- start configuration:  $q_0w$  ( $w$  is input)
- accepting config.: any config. with state  $q_{\text{accept}}$
- rejecting config.: any config. with state  $q_{\text{reject}}$

NTM  $M$  accepts input  $w$  if **there exist** configurations  $C_1, C_2, \dots, C_k$

- $C_1$  is start configuration of  $M$  on input  $w$
- $C_i \Rightarrow C_{i+1}$  for  $i = 1, 2, 3, \dots, k-1$
- $C_k$  is an accepting configuration

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### Nondeterministic TMs

**Theorem:** every NTM has an equivalent (deterministic) TM.

Proof:

- Idea: simulate NTM with a deterministic TM

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### Nondeterministic TMs

Simulating NTM  $M$  with a deterministic TM:

- computations of  $M$  are a tree
- nodes are configs
- fanout is  $b = \text{maximum number of choices in transition function}$
- leaves are accept/reject configs.

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### Nondeterministic TMs

Simulating NTM  $M$  with a deterministic TM:

- idea: breadth-first search of tree
- if  $M$  accepts: we will encounter accepting leaf and accept
- if  $M$  rejects: we will encounter all rejecting leaves, finish traversal of tree, and reject
- if  $M$  does not halt on some branch: we will not halt...

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### Nondeterministic TMs

Simulating NTM  $M$  with a deterministic TM:

- use a 3 tape TM:
  - tape 1: input tape (read-only)
  - tape 2: simulation tape (copy of  $M$ 's tape at point corresponding to some node in the tree)
  - tape 3: which node of the tree we are exploring (string in  $\{1, 2, \dots, b\}^*$ )
- Initially, tape 1 has input, others blank
- STEP 1: copy tape 1 to tape 2

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### Nondeterministic TMs

Simulating NTM  $M$  with a deterministic TM:

- STEP 2: simulate  $M$  using string on tape 3 to determine which choice to take at each step
  - if encounter blank, or a # larger than the number of choices available at this step, abort, go to STEP 3
  - if get to a rejecting configuration: DONE = 0, go to STEP 3
  - if get to an accepting configuration, ACCEPT
- STEP 3: replace tape 3 with lexicographically next string and go to STEP 2
  - if string lengthened and DONE = 1 REJECT; else DONE = 1

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## Examples of basic operations

- Convince yourself that the following types of operations are easy to implement as part of TM "program"  
(but perhaps tedious to write out...)
  - copying
  - moving
  - incrementing/decrementing
  - arithmetic operations +, -, \*, /

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## Universal TMs and encoding

- the input to a TM is always a string in  $\Sigma^*$
- often we want to interpret the input as **representing** another object
- examples:
  - tuple of strings  $(x, y, z)$
  - 0/1 matrix
  - graph in adjacency-list format
  - Context-Free Grammar

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## Universal TMs and encoding

- the input to a TM is always a string in  $\Sigma^*$
- we must encode our input as such a string
- examples:
  - tuples separated by #:  $\#x\#y\#z$
  - 0/1 matrix given by:  $\#n\#x\#$  where  $x \in \{0,1\}^{n^2}$
- any **reasonable** encoding is OK
- emphasize "encoding of X" by writing  $\langle X \rangle$

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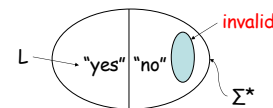
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## Universal TMs and encoding

- some strings not valid encodings and these are not in the language



make sure TM can recognize invalid encodings and reject them

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## Universal TMs and encoding

- We can easily construct a **Universal TM** that recognizes the language:  
 $A_{TM} = \{ \langle M, w \rangle : M \text{ is a TM and } M \text{ accepts } w \}$ 
  - how?
- this is a remarkable feature of TMs (not possessed by FA or NPDAs...)
- means there is a general purpose TM whose input can be a "program" to run

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## Church-Turing Thesis

- many other models of computation
  - we saw multitape TM, nondeterministic TM
  - others don't resemble TM at all
  - common features:
    - unrestricted access to unlimited memory
    - finite amount of work in a single step
- **every single one can be simulated by TM**
- **many are equivalent to a TM**
- **problems that can be solved by computer does not depend on details of model!**

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## Church-Turing Thesis

- the belief that TMs formalize our intuitive notion of an algorithm is:

### The Church-Turing Thesis

everything we can compute on a physical computer  
can be computed on a Turing Machine

- Note: this is a belief, not a theorem.

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## Recursive Enumerability

- Why is "Turing-recognizable" called RE?
- Definition: a language  $L \subseteq \Sigma^*$  is **recursively enumerable** if there exists a TM (an "enumerator") that writes on its output tape

$\#x_1\#x_2\#x_3\#\dots$

and  $L = \{x_1, x_2, x_3, \dots\}$ .

- The output may be infinite

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## Recursive Enumerability

**Theorem:** A language is Turing-recognizable iff some enumerator enumerates it.

Proof:

- ( $\Leftarrow$ ) Let E be the enumerator. On input w:
- Simulate E. Compare each string it outputs with w.
  - If w matches a string output by E, accept.

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## Recursive Enumerability

**Theorem:** A language is Turing-recognizable iff some enumerator enumerates it.

Proof:

( $\Rightarrow$ ) Let M recognize language  $L \subseteq \Sigma^*$ .

- let  $s_1, s_2, s_3, \dots$  be enumeration of  $\Sigma^*$  in lexicographic order.
- for  $i = 1, 2, 3, 4, \dots$ 
  - simulate M for  $i$  steps on  $s_1, s_2, s_3, \dots, s_i$
  - if any simulation accepts, print out that  $s_i$

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