

# The Quantum Communication Complexity of Sampling

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## Abstract

*Sampling is an important primitive in probabilistic and quantum algorithms. In the spirit of communication complexity, given a function  $f : X \times Y \rightarrow \{0, 1\}$  and a probability distribution  $\mathcal{D}$  over  $X \times Y$ , we define the sampling complexity of  $(f, \mathcal{D})$  as the minimum number of bits Alice and Bob must communicate for Alice to pick  $x \in X$  and Bob to pick  $y \in Y$  as well as a value  $z$  s.t. the resulting distribution of  $(x, y, z)$  is close to the distribution  $(\mathcal{D}, f(\mathcal{D}))$ .*

*In this paper we initiate the study of sampling complexity, in both the classical and quantum model. We give several variants of the definition. We completely characterize some of these variants, and give upper and lower bounds on others. In particular, this allows us to establish an exponential gap between quantum and classical sampling complexity for the set disjointness function.*

## 1. Introduction

A central question in quantum information theory is the amount of information that can be encoded into  $n$ -qubits. There are different ways to formulate this question and, surprisingly, they yield completely different answers. The most natural variant of this question is the following: Alice gets a string  $x = x_1, \dots, x_m$  which is drawn according to some distribution  $X$ . Alice can encode  $x$  into a superposition  $\psi$  over  $n$ -qubits ( $n \leq m$ ) in an arbitrary way. She then sends  $\psi$  to Bob who can measure  $\psi$  in an arbitrary way to get a classical random variable  $Y$ . The question is what is the maximal amount of mutual information between  $X$  and  $Y$ ? More than two decades ago Holevo [9] proved that the answer is  $n$ . That is, although  $2^n - 1$  complex numbers are necessary to specify the state of  $n$  quantum bits, only  $n$  bits of information can be retrieved from the superposition, and communicating qubits is not more useful than just communicating classical bits.

Yet, there is something in quantum bits that is more powerful than classical ones. The first demonstration of that was by Bennett and Wiesner [3] where it was shown that if the two parties share predefined entangled qubits (that are absolutely independent of the message) then Alice can communicate  $2n$  classical bits to Bob using only  $n$  communication qubits.

Another example was recently supplied by Ambainis, Nayak, Ta-Shma and Vazirani where Alice's task is to encode  $m$  classical bits into  $n$  qubits ( $m > n$ ) such that Bob can choose to read any *one* of the  $m$  encoded bits of his choice (thereby possibly destroying the information about the remaining  $m - 1$  bits). On the positive side they showed a scheme for beating Holevo's bound by a constant factor, but on the negative side they showed that  $m$  can be no larger than  $n \log n$ .

A rich hunting ground for such examples is the communication complexity model [18, 17]. Buhrman, Cleve and Wigderson [4] showed that if Alice and Bob get two subsets of  $[1..n]$  and they wish to compute whether their sets intersect or not, then even though any classical probabilistic protocol must exchange a linear number of bits, the task can be carried out with only square root as many quantum bits. The result is based on Grover's quantum search algorithm [8]. This quadratic gap provided the first asymptotic separation in power between classical and quantum communication.

Buhrman, Cleve and Wigderson [4] also gave another communication task based on the Deutsch-

Jozsa problem [6], where the number of classical bits required to compute a function *with zero error* is exponentially larger than the corresponding number of quantum bits. However, there is a probabilistic protocol with a small error probability where the number of bits exchanged is as small as the number exchanged by the quantum protocol. Raz [16] recently improved on that and showed such an exponential gap for a *partial* function even in the presence of errors. However, the result applies only for partial functions when the two players are given a promise that their inputs come from a small (in fact, tiny) set of possible inputs.

In this paper we give the first example of a communication task for a *total* function which can be carried out by transferring exponentially fewer quantum bits than classical bits even when error is allowed. The task is the following: Alice has a cardinality  $k$  subset  $S \subseteq \{1, \dots, n\}$ , and Bob must pick a uniformly random cardinality  $k$  subset  $T \subseteq \{1, \dots, n\}$  disjoint from  $S$ . We consider the case  $k = \Theta(\sqrt{n})$ , and give a quantum protocol in which Alice sends  $O(\log n \cdot \log 1/\epsilon)$  quantum bits to Bob, enabling him to sample from a distribution which is  $\epsilon$  close (in total variation distance) to the desired uniform distribution on subsets disjoint from  $S$ . We also show that any purely classical protocol for this task must involve the exchange of  $\Omega(\sqrt{n})$  bits between Alice and Bob even for constant  $\epsilon$ .

In the quantum model there are two natural notions of sampling: one is sampling a given classical distribution (which we just call sampling), the other is constructing a given quantum pure state (which we call q-sampling). We give a tight characterization of the complexity of q-sampling which we believe is important by itself. We also give a tight lower bound on the quantum complexity of zero-error sampling, and we show relationships between quantum sampling and quantum communication complexity.

One interesting aspect of our tight bounds is that the communication complexity of zero error sampling (or q-sampling) of a function  $f$  is in the order of the logarithm of the rank of the matrix describing  $f$  ( $M_f[x, y] = f(x, y)$ ). This is the first example of a communication task for which the famous log-rank conjecture holds, and reduces this conjecture to a polynomial relation between quantum and classical sampling.

Next, we describe the (quantum) communication complexity model, what sampling is and what our results are.

### 1.1. The Communication Complexity Model

The two party communication complexity model, as introduced by Yao [18], consists of two players that have private inputs and wish to compute a known function that depends on both inputs. The players follow a predefined protocol, and exchange communication bits until they are ready to make a decision.

In the quantum communication complexity model [17] Alice and Bob hold qubits. When the game starts Alice holds  $x$  and Bob holds  $y$ , and so the initial superposition is simply  $|x, y\rangle$ . The players take turns. Suppose it is Alice's turn to play. Alice can make an arbitrary unitary transformation on her qubits and then send one or more qubits to Bob. Sending qubits does not change the overall superposition, but rather changes the ownership of the qubits, allowing Bob to apply his next unitary transformation on the newly received qubits. Each player can also (partially) measure his/her qubits. By the end of the protocol the two players have to decide on a value. If during the protocol the two players are in the system  $\phi$ , then  $\phi_{Alice}$  denotes the state

of the subsystem of Alice’s qubits, and  $\phi_{Bob}$  is the state of the subsystem of Bob’s qubits.

The complexity of a classical (quantum) protocol is the number of bits (qubits) exchanged between the two players. We say a (quantum) protocol *computes*  $f : X \times Y \mapsto \{0, 1\}$  with  $\epsilon \geq 0$  error, if for any input  $x, y$  the probability that the two players compute  $f(x, y)$  is at least  $1 - \epsilon$ . We denote by  $R_\epsilon(f)$  ( $Q_\epsilon(f)$ ) the complexity of the best (quantum) protocol that computes  $f$  with at most  $\epsilon$  error. The deterministic complexity  $D(f)$  is simply  $R_0(f)$ .

## 1.2. Sampling complexity

In the previous definitions the two players had to *compute* the right answer for a given input  $(x, y)$ . A sampling protocol, however, starts with no input to the two players. Instead, by the end of the protocol Alice holds some  $x \in X$ , Bob holds some  $y \in Y$  and they also hold some “answer”  $z \in \{0, 1\}$ . We say the protocol induces a distribution  $\mathcal{P}$  on  $(x, y, z)$ , where  $\mathcal{P}(x, y, z)$  is the probability that  $x$  and  $y$  are sampled along with the answer  $z$ .

**Definition 1.1** *A classical distribution over  $X$  is a function  $\mathcal{D} : X \mapsto [0, 1]$  s.t.  $\sum_{x \in X} \mathcal{D}(x) = 1$ . Given two distributions  $\mathcal{D}_1, \mathcal{D}_2$  over  $X$ , the variational distance between them is  $|\mathcal{D}_1 - \mathcal{D}_2|_1 \stackrel{\text{def}}{=} \sum_x |\mathcal{D}_1(x) - \mathcal{D}_2(x)|$ .*

**Definition 1.2** (*Sampling*) *Let  $f : X \times Y \mapsto \{0, 1\}$ , and let  $\mathcal{D}$  be any distribution on  $X \times Y$ . We say the protocol samples  $f$  according to  $\mathcal{D}$  with  $\epsilon$  error if the distribution the protocol induces on  $\{(x, y, z)\}$  is  $\epsilon$  close, in the total variation distance, to the distribution  $(\mathcal{D}, f(\mathcal{D}))$  obtained by first picking  $(x, y)$  according to  $\mathcal{D}$  and then evaluating  $f(x, y)$ . We denote by  $\mathring{R}_\epsilon(f, \mathcal{D})$  ( $\mathring{Q}_\epsilon(f, \mathcal{D})$ ) the number of communication bits (qubits) needed for a randomized (quantum) protocol  $P$  to sample  $f$  according to  $\mathcal{D}$  with  $\epsilon$  error. When  $\mathcal{D}$  is the uniform distribution we sometimes omit it.*

## 1.3. q-sampling

In the quantum model it makes sense not only to sample the right classical distribution, but also to approximate the right quantum super-position. For example, we can ask how many communication qubits are needed for two players to generate (or approximate) the super-position  $\psi = \sum_{x,y} (-1)^{\sum_i x_i y_i} |x, y\rangle$ . We need to specify what is a good approximation of a super-position and a natural choice is the so called “fidelity” measure:  $\phi$  approximates  $\psi$  to within  $\epsilon$  if  $|\langle \phi | \psi \rangle| \geq 1 - \epsilon$ .

**Definition 1.3** (*q-sampling*) *We say a quantum protocol q-samples a super-position  $\psi = \sum_{x \in X, y \in Y} a_{x,y} |x, y\rangle$  to within  $\epsilon$  error if it starts with no inputs to the two players, and by the end of the protocol the two players compute a superposition  $\phi$  where  $\phi_{Alice}$  has support in  $X$ ,  $\phi_{Bob}$  has support in  $Y$  and  $|\langle \phi | \psi \rangle| \geq 1 - \epsilon$ .*

**Definition 1.4** *Let  $f : X \times Y \mapsto \{0, 1\}$  be any boolean function, and  $\mu : X \times Y \mapsto \mathbb{C}$  an  $l_2$  distribution ( i.e.,  $\sum_{x,y} |\mu_{x,y}|^2 = 1$ ). We say a quantum protocol q-samples  $f$  according to the distribution  $\mu$  with  $\epsilon$  error if it q-samples the super-position  $\sum_{x,y} \mu_{x,y} (-1)^{f(x,y)} |x, y\rangle$  to within  $\epsilon$  error. We denote the number of communication qubits needed for this by  $\mathring{Q}_\epsilon(f, \mu)$ .*

We say a function  $g : X \times Y \mapsto M$  is a “product” function, if  $g(x, y) = g_1(x)g_2(y)$  for some functions  $g_1$  and  $g_2$ . The next lemma shows that at least for product distributions sampling is easier than q-sampling:

**Lemma 1.1** *Suppose  $f : X \times Y \mapsto \{0, 1\}$ , and  $\mu$  is an  $l_2$  product distribution. Let  $\mathcal{D} : X \times Y \mapsto [0, 1]$  be the classical distribution associated with  $\mu$ ,  $\mathcal{D}(x, y) = |\mu_{x,y}|^2$ . Then  $\overset{\circ}{Q}_{2\sqrt{\epsilon}}(f, \mathcal{D}) \leq \overset{\circ}{Q}_{\epsilon}(f, \mu) + O(1)$ .*

Applying a technique from Cleve, van Dam, Nielsen and Tapp [5] we show:

**Proposition 1.2** *For any function  $f$  and any  $l_2$  product distribution  $\mu$ ,  $\overset{\circ}{Q}_{\epsilon}(f, \mu) \leq 2Q_{\epsilon}(f)$ .*

We completely characterize the complexity of q-sampling. With each super-position  $\psi = \sum_{x \in X, y \in Y} a_{x,y} |x, y\rangle$  we associate a  $|X| \times |Y|$  matrix  $M_{\psi} = (a_{x,y})$ . We characterize the complexity of q-sampling  $\psi$  in terms of the spectrum of  $M_{\psi}$ . Given an  $N \times N$  matrix  $M$ ,  $MM^{\dagger}$  is a non-negative matrix and hence has a complete set of non-negative eigenvalues  $\lambda_1 \geq \dots \lambda_N \geq 0$ . The  $i$ 'th singular value,  $\sigma_i(M)$ , is  $\sqrt{\lambda_i}$ . We prove:

**Theorem 1** *For any pure state  $\psi$  and  $0 \leq \epsilon \leq \frac{1}{2}$ , let  $K_{\epsilon}$  be the first integer  $K$  s.t.  $\sum_{i=1}^K \sigma_i^2(M_{\psi}) \geq 1 - \epsilon$ . Then  $\lceil \log K_{2\epsilon} \rceil \leq \overset{\circ}{Q}_{\epsilon}(\psi) \leq \lceil \log K_{\epsilon} \rceil$ .*

We note that  $K_{\epsilon}$  can also be expressed as  $\text{MIN}_{A: \|M_{\psi}-A\|_2^2 \leq \epsilon} \text{Rank}(A)$ .

When we apply Theorem 1 together with Lemma 1.1 we see that:

**Theorem 2** *(upper bound for sampling) Suppose  $f : X \times Y \mapsto \{0, 1\}$  and  $\epsilon \geq 0$ . Suppose  $\mu$  is an  $l_2$  product distribution and  $\mathcal{D}$  is the classical distribution associated with  $\mu$ . Define  $M_{f,\mu}[x, y] = \mu_{x,y}(-1)^{f(x,y)}$ . Let  $K_{\epsilon}$  be the minimum  $K$  s.t.  $\sum_{i \leq K} \sigma_i(M_{f,\mu})^2 \geq 1 - \epsilon$ . Then:*

$$\overset{\circ}{Q}_{\epsilon}(f, \mathcal{D}) \leq \lceil \log(K_{\epsilon^2/4}) \rceil + O(1)$$

**Example 1** *Let  $f : \{0, 1\}^n \times \{0, 1\}^n \mapsto \{0, 1\}$  be the inner-product function,  $f(x, y) = \langle x|y\rangle$  and  $\mu$  the uniform  $l_2$  distribution,  $\mu(x, y) = \frac{1}{N}$  (where  $N = 2^n$ ). Because of the orthogonality relations of the inner-product function the matrix  $M_{f,\mu}M_{f,\mu}^{\dagger}$  is just  $\frac{1}{N}I_{N \times N}$ . Therefore  $\sigma_i^2(M_{f,\mu}) = \frac{1}{N}$  for all  $i = 1, \dots, N$ . In particular  $K_{\epsilon} = (1 - \epsilon)N$ . Thus we see that*

$$\overset{\circ}{Q}_{\epsilon}(f) \approx \lceil \log((1 - 2\epsilon)N) \rceil = \lceil n - \log \frac{1}{1 - 2\epsilon} \rceil$$

Because  $\mu$  is a product distribution we can also get a lower bound on  $Q_{\epsilon}(f)$ :

$$Q_{\epsilon}(f) \geq \frac{1}{2}(n - \log \frac{1}{1 - 2\epsilon})$$

Good lower bounds for the computational complexity of the inner-product function are already known. In fact Cleve, van Dam, Nielsen and Tapp proved a stronger version with better constants that works even when the two parties share entangled qubits. Yet our lower bound applies also for the q-sampling case.  $\square$

## 1.4. The Disjointness Function

The  $DISJ_k(x, y)$  function gets as input two subsets  $S, T \subseteq \{1, \dots, n\}$  each of cardinality  $k$ , and outputs 1 iff  $S \cap T = \emptyset$ . We apply Theorem 2 to the  $DISJ_k$  under the uniform distribution. To analyze the spectrum of  $M_{DISJ_k}$  we use a result by Lovasz [11]. We get:

**Theorem 3** For  $k = \Theta(\sqrt{n})$ ,  $\mathring{Q}_\epsilon(DISJ_k) = O(\log(n) \log(\frac{1}{\epsilon}))$ . The result is true even when Alice has an input  $S$  and Bob wants to sample a subset  $T$  disjoint from  $S$ .

In contrast we prove that classically sampling  $DISJ_k$  is hard.

**Theorem 4** let  $k = \sqrt{n}$ . There is a constant  $\epsilon > 0$  s.t.  $\mathring{R}_\epsilon(DISJ_k) = \Omega(\sqrt{n})$ .

## 1.5. A Tight Characterization of Zero-Error Sampling and the Log-Rank conjecture

The lower bound of Theorem 1 does not cover the sampling case, and it is still possible that sampling is much easier than q-sampling. For the special case of *zero-error* sampling we supply a lower bound even for the easier task of sampling, using a similar method to that used in Theorem 1.

**Theorem 5** For any function  $f$  and any distribution  $\mathcal{D}$ ,  $\mathring{Q}_0(f, \mathcal{D}) \geq \frac{\log(\text{Rank}(M_{f, \mathcal{D}}))}{2} - 1$

Notice that when  $\mu(x, y) = 1/N$  (where  $|X| = |Y| = N$ ) then  $\mathcal{D}(x, y) = 1/N^2$  and so  $M_{f, \mathcal{D}}$  and  $M_{f, \mu}$  differ only by a constant factor and have the same rank. By Theorem 1  $\mathring{Q}_0(f) \leq \lceil \log \text{Rank}(M_f M_f^\dagger) \rceil + O(1) = \lceil \log \text{Rank}(M_f) \rceil + O(1)$ . Together we get a tight characterization for zero-error sampling.

**Corollary 1.3** For any  $f : X \times Y \mapsto \{0, 1\}$ ,  $\mathring{Q}_0(f) = \Theta(\log \text{Rank}(M_f))$ .

The ‘‘Log Rank Conjecture’’ in communication complexity asks whether always  $D(f) \leq \text{poly}(\log(\text{Rank}(M_f)))$  Raz and Spieker [15] were the first to show a super-linear gap, and the biggest gap known as of today, due to Nisan and Wigderson [13], exhibits an  $f$  with  $D(f) \geq \log(\text{Rank}(M_f))^{1.6\dots}$  (see [14]). It is quite possible, for example, that  $D(f) \leq \log(\text{Rank}(M_f))^2$ .

Corollary 1.3 sheds some light on the nature of the Log Rank Conjecture. We can replace the expression  $\log(\text{Rank}(M_f))$  with  $\mathring{Q}_0(f)$ . We now see that the conjecture has two conceptual steps: one is a transition from  $\mathring{Q}_0(f)$  to  $\mathring{R}_0(f)$ , the other is a transition from  $\mathring{R}_0(f)$  to  $D(f)$ . However, we show:

**Lemma 1.4**  $\sqrt{D(f)} \leq \mathring{R}_0(f) \leq D(f)$ .

Thus,

**Corollary 1.5**  $D(f) \leq \text{poly}(\log(\text{Rank}(M_f)))$  if and only if  $\mathring{R}_0(f) \leq \text{poly}(\mathring{Q}_0(f))$ .

## 1.6. Sampling vs. Computing

We conclude with some remarks about sampling vs. computing. We notice two things in the sampling model that are different from the computational model. First, it seems that in the sampling case, rounds do not help (as can be seen for the q-sampling in Theorem 1, zero error sampling in Corollary 1.3 and the classical case in Lemma 6.1). This is in sharp contrast to the computational scenario where an extra round can supply an exponential speedup (for details see [14]). We also note that with public random coins, sampling (both quantum and classical) is easy. Thus, public coins are much more powerful than private coins in the sampling model. On the other hand, public coins are almost equivalent to private ones in the computational model [12, 14].

As a result any lower bound technique that proves a lower bound on sampling breaks down when the two parties are allowed to use entanglement, and any lower bound technique that is powerful enough to prove a lower bound even in the presence of entanglement (as the lower bound of Cleve, van Dam, Nielsen Tapp [5] for the Inner Product function) does not extend to the sampling case.

## 2. Preliminaries

Two superpositions that are close to each other in the fidelity norm (i.e.,  $|\langle \phi_1 | \phi_2 \rangle| \geq 1 - \epsilon$ ) can not be effectively distinguished. More precisely, for a superposition  $\phi$  and a complete measurement  $\mathcal{O}$  over it, let us denote by  $\phi^{\mathcal{O}}$  the classical distribution (over all possible results) obtained by applying the measurement  $\mathcal{O}$  over  $\phi$ . A special case of the work done by Aharonov, Kitaev and Nisan [1] gives:

**Lemma 2.1** [1] *Suppose  $\phi_1, \phi_2$  are two superpositions s.t.  $|\langle \phi_1 | \phi_2 \rangle| \geq 1 - \epsilon$ , then for any complete measurement  $\mathcal{O}$   $|\phi_1^{\mathcal{O}} - \phi_2^{\mathcal{O}}|_1 \leq 2\sqrt{\epsilon}$ .*

### 2.1 The Hoffman Wielandt Theorem

**Definition 2.1** *Given a matrix  $A = (a_{i,j})$ ,  $|A|_{\infty} \stackrel{\text{def}}{=} \max_{i,j} |a_{i,j}|$  and  $\|A\|_2 \stackrel{\text{def}}{=}} (\sum_{i,j} |a_{i,j}|^2)^{1/2}$ . Note that  $\|A\|_2^2 = \text{Trace}(AA^\dagger)$ .*

**Theorem 6** ([7], Theorem 8.6.4) *Let  $A$  and  $B$  be  $N \times N$  matrices. Then,  $\sum_{i=1}^N [\sigma_i(A) - \sigma_i(B)]^2 \leq \|B - A\|_2^2$ .*

A direct corollary is:

**Corollary 2.2** *Let  $A$  and  $B$  be two  $N \times N$  matrices. Suppose that  $\text{Rank}(B) = r$ . Then  $\sum_{i=r+1}^N \sigma_i^2(A) \leq \|B - A\|_2^2$ .*

### 2.2. The Singular Value Decomposition

Any normal matrix  $N$  can be diagonalized by an appropriate unitary basis change, that is there is some unitary transformation  $U$  s.t.  $UNU^\dagger$  is diagonal with the eigenvalues  $\lambda_1, \dots, \lambda_N$  on the diagonal. A useful generalization of this is the singular value decomposition:

**Theorem 7** (the singular value decomposition) ([7], Section 2.5.6) *For any matrix  $M$  there are unitary transformations  $U_1, U_2$  s.t.  $U_1 M U_2$  is diagonal with the singular values  $\sigma_1(M), \dots, \sigma_N(M)$  on the diagonal.*

### 3. A Tight Bound on q-Sampling

#### 3.1. An Upper Bound

Suppose Alice and Bob are in a super-position  $\phi = \sum_{x,y} M_{x,y} |x, y\rangle$  represented by the matrix  $M = M_\phi$  (i.e.,  $M[x, y] = M_{x,y}$ ). Let us check how does the matrix representation changes as Alice applies a local transformation  $T$  on her qubits. The resulting super-position is

$$\begin{aligned} (T \otimes I)\phi &= \sum_{x,y} M_{x,y} |Tx, y\rangle \\ &= \sum_{x,y} M_{x,y} \sum_z T_{z,x} |z, y\rangle \\ &= \sum_{z,y} (\sum_x T_{z,x} M_{x,y}) |z, y\rangle \\ &= \sum_{z,y} (TM)_{z,y} |z, y\rangle \end{aligned}$$

and so the resulting super-position is represented by  $TM$ . Similarly if *Bob* applies a local transformation  $T$  on  $M$  the resulting super-position is represented by  $MT^t$ .

We now give a general algorithm for one message sampling. Suppose the parties want to sample a super-position  $\psi$  represented by  $M = M_\psi$ . By the singular decomposition theorem (Theorem 7) there are unitary transformations  $U_1, U_2$  s.t.  $U_1^{-1} M U_2^{-1}$  is the diagonal matrix  $D$  with  $\sigma_1(M), \dots, \sigma_N(M)$  on the diagonal. Let  $\Lambda = \{w_i | i = 1, \dots, K\}$  the set of the first  $K = K_\epsilon$  (“heavy”) eigenvectors. Let  $\Pi$  be the projection operator onto  $\Lambda$ , i.e.,  $\Pi[x, y]$  is 1 if  $x = y$  and  $1 \leq x \leq K$  and zero otherwise. The protocol is the following:

- Alice prepares the super-position  $D\Pi$  (which is simply the super-position  $c \sum_{i=1}^K \sigma_i(M) |i, i\rangle$  where  $c = 1/\sum_{i=1}^K \sigma_i^2(M)$ . Notice that  $1 \leq c \leq \frac{1}{1-\epsilon}$ .) and sends the  $Y$  qubits to Bob.
- Alice applies the transformation  $U_1$  on her qubits and Bob applies the transformation  $U_2^t$  on his qubits.

Say the resulting super-position is  $\phi$  and its matrix is  $M_\phi$ . We know that  $M_\phi = c U_1 D \Pi U_2$ . We have:

$$\begin{aligned} \|M_\phi - M_\psi\|_2^2 &= \|c U_1 D \Pi U_2 - U_1 D U_2\|_2^2 \\ &= \|U_1 (c D \Pi - D) U_2\|_2^2 \\ &= \|c D \Pi - D\|_2^2 \\ &= \sum_{i>K} \sigma_i^2(M) + (c-1)^2 \sum_{i=1}^K \sigma_i^2(M) \\ &\leq \epsilon + \frac{(c-1)^2}{c} \leq \epsilon + 2\epsilon^2 \leq 2\epsilon \end{aligned}$$

The third equality is due to the fact that for every matrix  $X$  and unitary matrix  $U$ ,  $\|UX\|_2^2 = \langle UX | UX \rangle = \langle X | X \rangle = \|X\|_2^2$ . The last inequality is since  $c-1 \leq c\epsilon$  and for  $\epsilon > \frac{1}{2}$   $c \leq 2$ .

To finish the proof of the upper bound of Theorem 1 we claim:

**Claim 3.1**  $|\langle \phi | \psi \rangle| \geq 1 - \epsilon$

**Proof:** We first notice that since  $(U_1^{-1} \otimes U_2^{-1})\psi = \sum_i \sigma_i |i, i\rangle$  and  $(U_1^{-1} \otimes U_2^{-1})\phi = c \sum_{i \in \Lambda} \sigma_i |i, i\rangle$ , it follows that  $\langle \phi | \psi \rangle$  is real. We treat the matrices  $M_\phi, M_\psi$  as vectors of length  $|X| \cdot |Y|$ . By the way the matrices  $M_\phi, M_\psi$  were defined  $\langle M_\phi | M_\psi \rangle = \langle \phi | \psi \rangle$ . We then see that

$$\begin{aligned} \|M_\phi - M_\psi\|_2^2 &= \langle M_\phi - M_\psi | M_\phi - M_\psi \rangle \\ &= \langle M_\phi | M_\phi \rangle + \langle M_\psi | M_\psi \rangle - 2\langle M_\phi | M_\psi \rangle \end{aligned}$$

But  $\|M_\phi\|_2 = \|M_\psi\|_2 = 1$  and so

$$\|M_\phi - M_\psi\|_2^2 = 2(1 - \langle \phi | \psi \rangle)$$

Plugging  $\|M_\phi - M_\psi\|_2^2 \leq 2\epsilon$  we get  $\langle \phi | \psi \rangle \geq 1 - \epsilon$  as desired.  $\square$

### 3.2. A Lower Bound

The lower bound idea is an extension of an idea from Kremer's thesis [10] where it is attributed to Yao. We first show that the outcome of any quantum protocol that uses only  $l$  communication qubits can be described as a linear combination of up to  $2^l$  product superpositions (we give a precise statement soon). We use this to show that a quantum sampling protocol is actually a low rank approximation of  $M_\psi$ . We then use the Hoffman Wielandt inequality to derive a lower bound on  $l$ .

**Claim 3.2** [10] *Suppose  $P$  is a quantum protocol that uses  $l$  communication qubits, starts with no input and computes the superposition  $\phi$ . Further assume that the last qubit communicated is  $w_l$ . Then  $\phi = \sum_{w \in \{0,1\}^l} |U(w), w_l, V(w)\rangle$ , where  $U$  and  $V$  depend only on  $w$ .*

**Proof:** [of Claim 3.2]: The proof is by induction on  $l$ . The case  $l = 0$  is immediate. Suppose it is true for  $l$ , let us prove for  $l + 1$ . Assume after  $l$  steps the two parties are in the superposition  $\sum_{w \in \{0,1\}^l} |U(w), w_l, V(w)\rangle$  and w.l.o.g. it is now Alice's turn to play. Alice first does some unitary transformation on her qubits, which results in  $\sum_{w \in \{0,1\}^l} |U'(w_1, \dots, w_l), V(w_1, \dots, w_l)\rangle$ . Then she sends the qubit  $z$  to Bob. For every  $w_1, \dots, w_l$  we can represent  $|U'(w_1, \dots, w_l)\rangle$  as a superposition of the possible values of  $z$  which completes the induction.  $\square$

Now suppose  $P$   $q$ -samples  $\psi$  (represented by  $M_\psi$ ) with  $\epsilon$  error and  $l$  communication qubits. Let us denote by  $\phi = \sum_{x,y} a_{x,y} |x, y\rangle$  the final superposition that the two parties compute (which is, again, represented by  $M_\phi$ ). By Claim 3.2 we know that we can represent  $\phi$  as  $\phi = \sum_{w \in \{0,1\}^l} |U(w), V(w)\rangle$ . Because  $\phi_{Alice}$  has support in  $X$ , and  $\phi_{Bob}$  in  $Y$ , this is actually  $\phi = \sum_{w \in \{0,1\}^l} \sum_{x,y} U_x(w) \cdot V_y(w) |x, y\rangle$  where  $U_x(w)$  and  $V_y(w)$  are complex numbers. Thus

$$M_\phi[x, y] = \sum_{w \in \{0,1\}^l} U_x(w) V_y(w)$$

Let us define a  $|X| \times 2^l$  matrix  $A$  by  $A[x, w] = U_x(w)$ , and a  $2^l \times |Y|$  matrix  $B[w, y] = V_y(w)$ . We see that  $M_\phi = A \cdot B$ , where the  $\cdot$  operation is matrix multiplication. In particular

$$Rank(M_\phi) \leq Rank(A) \leq 2^l$$

Since  $\phi \in \epsilon$  approximates  $\psi$  we know that  $|\langle \phi | M_\psi \rangle| \geq 1 - \epsilon$ . In fact, the two parties can always arrange at no cost that  $\langle \phi | \psi \rangle$  is real (changing the amplitude of a super-position is a local transformation) and therefore we can assume that  $\langle \phi | \psi \rangle$  is real. Hence, w.l.o.g. we have

$$\|M_\phi - M_\psi\|_2^2 \leq 2\epsilon$$

Therefore,  $M_\phi$  is a low-degree matrix approximating (in the  $l_2$  norm) a matrix  $M_\psi$ . By Corollary 2.2 we have that  $\sum_{i=1}^{2^l} \sigma_i^2(M_\psi) \leq \|M_\psi - M_\phi\|_2^2 \leq 2\epsilon$ . Therefore,  $K_{2\epsilon} \leq 2^l$ , which proves the lower bound in Theorem 1.

## 4. Relationships Between Sampling and Computing

### 4.1 Sampling vs. $q$ -sampling

We first prove Lemma 1.1 that for any boolean function  $f$  and any product distribution  $\mu$ , sampling is easier (at least not harder) than  $q$ -sampling.

**Proof:** [of Lemma 1.1] Suppose the approximation protocol computes  $\phi$  s.t.  $|\langle \phi | \psi \rangle| \geq 1 - \epsilon$  where  $\psi$  is the ideal super-position  $\psi = \sum_{x,y} \mu_{x,y} (-1)^{f(x,y)} |x, y\rangle$ . We give a sampling protocol:

1. Alice computes the superposition  $|00\rangle + |11\rangle$  in qubits  $z_1, z_2$ . She sends the second qubit  $z_2$  to Bob.
2. If they both have a  $|0\rangle$  (i.e.  $z_1 = z_2 = 0$ ) they compute in the qubits  $X, Y$  the superposition  $\sum_{x,y} \mu_{x,y} |x, y\rangle$  (this can be done at no cost as  $\mu$  is a product distribution) and if they have a 1 they compute  $\phi$  (using  $\lceil \log(K_\epsilon) \rceil$  qubits).
3. Now Bob returns the qubit  $z_2$  to Alice. Alice does a unitary transformation over  $z_1, z_2$  that sends  $|00\rangle$  to  $\frac{1}{\sqrt{2}}(|00\rangle + |01\rangle)$  and  $|11\rangle$  to  $\frac{1}{\sqrt{2}}(|00\rangle - |01\rangle)$ .
4. Finally, both players measure all their qubits.

Now, suppose for the moment that the protocol was run with  $\phi = \psi$ . In that case after step (2) the two players are in the superposition

$$\sum_{x,y} \mu_{x,y} [|00, x, y\rangle + (-1)^{f(x,y)} |11, x, y\rangle]$$

It can then be easily verified that after step (3) the resulting superposition is:

$$\sum_{x,y} \mu_{x,y} |0, f(x, y), x, y\rangle$$

and thus when Alice and Bob measure their qubits they actually sample  $f$  according to  $\mathcal{D}$  with no error.

Now, in the actual protocol the two players compute  $\phi$  which is not quite  $\psi$  but close to it, namely,  $|\langle \phi | \psi \rangle| \geq 1 - \epsilon$ . By Lemma 2.1 we know that the resulting distribution is  $2\sqrt{\epsilon}$  close (in the  $l_1$  norm) to the right one, and the theorem follows.  $\square$

## 4.2 $q$ -sampling vs. Computing

Suppose we can compute  $f$ , and we want to  $q$ -sample it according to a product distribution  $\mu$ . Since  $\mu$  is product we can enter the superposition  $\sum_{x,y} \mu_{x,y} |x, y\rangle$ . Then we can compute  $f$ . However, this does not give a  $q$ -sampling protocol because we might use some auxiliary qubits for the computation and thus have garbage entangled with the result. The following proof follows ideas from Cleve, van Dam, Nielsen Tapp [5] who showed how to clean such garbage. The proof is given here for completeness.

**Proof:** (of Proposition 1.2) The two players get into the superposition  $\sum_{x,y} \mu_{x,y} |x, y\rangle$ . Since  $\mu$  is a product distribution this is done at no cost. We run a three step protocol:

**Computing  $f$**  : We run the protocol for computing  $f$ . We use the safe storage principle and each time the protocol wants to measure a qubit we simply copy it to a new qubit that is left untouched. This results in  $\phi_1 = \sum_{x,y} \mu_{x,y} |x, y\rangle (\alpha_{x,y}^0 |f(x, y), g_{x,y}^0\rangle + \alpha_{x,y}^1 |1 - f(x, y), g_{x,y}^1\rangle)$  where  $g_{x,y}^0$  (and  $g_{x,y}^1$ ) is the correlated garbage that is produced during the computation, i.e., the right answer  $f(x, y)$  is computed with amplitude  $\alpha_{x,y}^0$ , and is accompanied by  $g_{x,y}^0$  in the garbage qubits. The important thing we have to bear in mind is that since the protocol has only  $\epsilon$  error on average we know that  $\sum_{x,y} |\mu_{x,y}|^2 |\alpha_{x,y}^0|^2 \geq 1 - \epsilon$ .

**Lifting the result** : Next, we lift the result  $f(x, y)$  to the amplitude, I.e., the player with the result qubit  $R$  changes the amplitude by  $(-1)^R$ . The resulting superposition is  $\phi_2 = \sum_{x,y} \mu_{x,y} (-1)^{f(x,y)} |x, y\rangle (\alpha_{x,y}^0 |f(x, y), g_{x,y}^0\rangle - \alpha_{x,y}^1 |1 - f(x, y), g_{x,y}^1\rangle)$ .

Notice the sign change in the garbage belonging to the wrong answer. We don't like this sign change and we notice that this sign change is immaterial. Namely, if we define  $\psi_2 = \sum_{x,y} \mu_{x,y} (-1)^{f(x,y)} |x, y\rangle (\alpha_{x,y}^0 |f(x, y), g_{x,y}^0\rangle + \alpha_{x,y}^1 |1 - f(x, y), g_{x,y}^1\rangle)$  then  $|\langle \phi_2 | \psi_2 \rangle| \geq \sum_{x,y} |\mu_{x,y}|^2 |\alpha_{x,y}^0|^2 \geq 1 - \epsilon$

**Reversing the computation** : Finally, we would like to get rid of the garbage, so we run the reverse of step 1, the computation step. This can be done by reversing the protocol used in step 1, and thus it at most doubles the number of communication qubits transferred.

Because of the sign change in  $\phi_2$  the resulting superposition is ugly and depends on the actual computation. However, had the reversing step been applied to  $\psi_2$  we would have received the ideal superposition  $\psi = \sum_{x,y} \mu_{x,y} (-1)^{f(x,y)} |x, y\rangle$ . Now  $|\langle \phi_2 | \psi_2 \rangle| \geq 1 - \epsilon$  and step 3 is just a unitary transformation. We conclude that  $|\langle \phi_3 | \psi \rangle| \geq 1 - \epsilon$ .

□

## 5. The $DISJ_k$ function

We now wish to study the spectrum of  $M = M_{f,\mu}$  when  $f = DISJ_k$  (i.e.,  $f(x, y) = 1$  if  $x \cap y = \emptyset$  and 0 otherwise) and  $\mu$  is the  $l_2$  uniform distribution ( $\mu_{x,y} = 1/N$ ). We are interested in the size of  $K_\epsilon$  for  $\epsilon \geq 0$ . We note that  $M[x, y]$  depends only on the intersection size of  $x$  and  $y$ . It is not too hard to see that all matrices that are indexed by  $k$ -subsets and depend only on the intersection size commute. In particular they share the same eigenspaces. Lovasz [11] analyzed the spectrum of these matrices. Here we give a slightly different description of the eigenspaces of  $M$  he obtains:

**Lemma 5.1** ([11], a different presentation)  $M$  has  $k+1$  eigenspaces  $E_0, \dots, E_k$ .  $E_0$  is of dimension 1 and contains the all 1's vector.  $E_i$  has dimension  $\binom{n}{i} - \binom{n}{i-1}$ . The typical eigenvector in  $E_i$  is indexed by  $x_1, x_2, \dots, x_{2i-1}, x_{2i} \in \{1, \dots, n\}$ . The corresponding eigenvector  $e$  (unnormalized) is given by:  $e_S = 0$  if there is an index  $j : |S \cap \{x_{2j-1}, x_{2j}\}| \neq 1$ , otherwise  $e_S = \prod_j (-1)^{|S \cap \{x_{2j}\}|}$ . The corresponding eigenvalues are  $\lambda_0 = \frac{2^{\binom{n-k}{k} - \binom{n}{k}}}{\binom{n}{k}}$ , and  $\lambda_i = \frac{2^{\binom{n-k-i}{k}}}{\binom{n}{k}}$  for  $i > 0$ .

The eigenvalues in the spectrum of  $M$  decay rapidly. If we denote  $q_i = \sum_{w_i \in E_i} |\lambda_i|^2$  (so  $\sum_{i=0}^k q_i = 1$ ) then:

**Claim:** For  $k = \Theta(\sqrt{n})$ ,  $\frac{q_{i+1}}{q_i} = O(\frac{1}{i+1})$ .

**Proof:** To calculate  $q_{i+1}/q_i$  we first bound  $\lambda_{i+1}/\lambda_i$ . We get that  $\frac{-\lambda_{i+1}}{\lambda_i} = \frac{k-i}{n-k-i} \leq \frac{2k}{n}$ . The number of eigenvalues is  $\binom{n}{i} - \binom{n}{i-1}$  for  $E_i$  and  $\binom{n}{i+1} - \binom{n}{i}$  for  $E_{i+1}$ , and  $\frac{\binom{n}{i+1} - \binom{n}{i}}{\binom{n}{i} - \binom{n}{i-1}} \leq \frac{2n}{i+1}$ . Hence

$$\frac{q_{i+1}}{q_i} = \frac{(\binom{n}{i+1} - \binom{n}{i})\lambda_{i+1}^2}{(\binom{n}{i} - \binom{n}{i-1})\lambda_i^2} \leq \frac{2n}{i+1} \cdot \frac{4k^2}{n^2} = \Omega\left(\frac{1}{i+1}\right).$$

□

Therefore  $q_t \leq \frac{c^t}{t!}$ . Now we are set to prove:

**Lemma 5.2**  $\log K_\epsilon \leq O(\log(n) \frac{\log 1/\epsilon}{\log \log 1/\epsilon})$ .

**Proof:** We set  $t = O(\frac{\log 1/\epsilon}{\log \log 1/\epsilon})$  and take  $\Lambda = E_0 \cup E_1 \cup \dots \cup E_t$ . We have:

$$\begin{aligned} \sum_{i \in \Lambda} |\lambda_i|^2 &= 1 - \sum_{i \notin \Lambda} |\lambda_i|^2 = 1 - \sum_{i=t+1}^k q_i \\ &\geq 1 - \sum_{i=t+1}^k \frac{c^i}{i!} \geq 1 - O\left(\frac{c^t}{t!}\right) \geq 1 - \epsilon \end{aligned}$$

Hence  $K_\epsilon \leq |E_0 \cup \dots \cup E_t| \leq t \cdot \binom{n}{t} \leq n^{t+1}$ , and  $\log K_\epsilon \leq (t+1) \log(n)$  as required. □

By Theorem 2 this implies that  $\overset{\circ}{Q}_\epsilon(DISJ_k) \leq O(t \log(n)) = O(\log(n) \log 1/\epsilon)$  which gives the first part of Theorem 3. In particular this shows that it is easy for Alice and Bob to sample a uniform pair of subsets  $x$  and  $y$ , along with the knowledge whether  $x$  and  $y$  intersect.

In the next two subsections we prove the second part of the theorem. We want to show two things. One is that the result holds even when Alice and Bob want to sample only *disjoint* subsets, and second that the result holds even when Alice is given an input  $x$  and Bob is asked to sample a subset  $y$  *disjoint* with  $x$ .

## 5.1. Sampling Disjoint Subsets

Alice and Bob want to  $\epsilon$ -approximate a sample of disjoint  $k$ -subsets  $x$  and  $y$ . This amounts to sampling the disjointness function according to the distribution  $\mathcal{D}$  that is uniform over all pairs of disjoint subsets (notice that  $\mathcal{D}$  is *not* a product distribution). Clearly, it is enough for Alice and Bob to approximate the normalized superposition  $\psi = \sum_{x,y:x \cap y = \emptyset} \frac{1}{\sqrt{\Delta_0 N}} |x, y\rangle$ , for once they do that

they can measure  $x$  and  $y$  and get the desired sample. The normalizing factor  $\Delta_0$  is the number of values  $y$  in a row  $x$  s.t.  $x \cap y = \emptyset$  and does not depend on  $x$ .

Denote by  $M_{f_0}$  the normalized matrix  $M_{f_0}[x, y] = \frac{1}{\sqrt{\Delta_0 N}} \begin{cases} 1 & x \cap y = \emptyset \\ 0 & \text{otherwise} \end{cases}$ .  $M_{f_0}$  is symmetric and has full spectrum  $\zeta_1, \dots, \zeta_N$ ,  $|\zeta_1|^2 \geq \dots \geq |\zeta_N|^2$ . We say  $K_\epsilon^0$  is the first  $K$  s.t.  $\sum_{i \leq K} |\zeta_i|^2 \geq 1 - \epsilon$ . By Theorem 1 (which applies to any superposition) Alice and Bob can  $\epsilon$  approximate  $\psi$  using only  $O(\log(K_\epsilon^0))$  communication qubits.

Since  $k = \Theta(\sqrt{N})$ ,  $\Delta_0 \geq \frac{N}{c}$  for some constant  $c$ . All that is left to show is that  $O(\log(K_\epsilon^0)) = O(\log(n) \log(1/\epsilon))$ . One way to show this is to compute the eigenvalues of  $M_{f_0}$ . However, there is an easier way. We show that  $K_\epsilon^0 \leq K_{\epsilon/c} + 1$  and then Lemma 5.2 implies the bound. We are left with:

**Claim 5.1**  $K_\epsilon^0 \leq K_{\epsilon/c} + 1$ .

**Proof:** (of claim) Denote  $M_f[x, y] = \frac{1}{N}(-1^{f(x,y)})$ .  $M_f$  and  $M_{f_0}$  share the same eigenspaces (as they commute). We now express  $M_f$  and  $M_{f_0}$  in terms of each other. Let us denote by  $B = \sqrt{N\Delta_0}M_{f_0}$ , so  $B$  is a 0,1 matrix. It can be easily verified that

$$NM_f = B - (J - B) = 2B - J$$

where  $J$  is the all 1 matrix. Hence,  $M_{f_0} = \frac{N}{2\sqrt{N\Delta_0}}M_f + dJ$ , for some value  $d$ . In particular for any eigenvector  $w_i \neq (1, \dots, 1)$ ,  $Jw_i = 0$  and  $\zeta_i = \frac{1}{2}\sqrt{\frac{N}{\Delta_0}}\lambda_i$ . Thus,

$$|\zeta_i| = \frac{1}{2}\sqrt{\frac{N}{\Delta_0}}|\lambda_i| \leq \sqrt{c}|\lambda_i|, i > 1$$

Therefore, suppose  $\sum_{i \in S} |\lambda_i|^2 \geq 1 - \epsilon/c$ . Denote  $S' = S \cup \{(1, \dots, 1)\}$ . Clearly,  $\sum_{i \notin S'} |\zeta_i|^2 \leq \sum_{i \notin S'} c|\lambda_i|^2 \leq \epsilon$ . Hence  $K_\epsilon^0 \leq K_{\epsilon/c} + 1$ .  $\square$

## 5.2. Sampling For a Given Input $x$

Alice is given an input  $z \in X$  and the goal is that Bob samples  $y \in Y$  s.t.  $z \cap y = \emptyset$ . We follow a protocol similar to that in the upper bound of Theorem 1. Given an input  $z \in X$  and an  $\epsilon > 0$  define  $M = M_{f_0}$  as in the previous subsection. Let  $W$  be the eigenvector basis of  $M$  (which is symmetric). Let  $\Lambda = \Lambda_\epsilon$  be the union of the first eigenspaces  $E_i$  (defined in Lemma 5.1) that contain the first  $K_\epsilon$  heavy eigenvectors of  $M$ . Let  $\Pi$  be the projection operator over  $\Lambda$ .

We now describe the protocol. Alice gets into the normalized super-position  $v_z = \frac{1}{\sqrt{\Delta_0}} \sum_{y: y \cap z = \emptyset} |y\rangle$ . In the eigenvector basis  $W$ ,  $v_z = \sum_i \gamma_i |w_i\rangle$ . Alice then projects  $v_z$  onto  $\Lambda$  to get  $\bar{v}_z = \sum_{i \in \Lambda} \gamma_i |w_i\rangle$  and sends  $\bar{v}_z$  to Bob. Bob returns  $\bar{v}_z$  to the original basis and measures to get some  $y$ .

As before, to prove correctness all we need to show is

**Lemma 5.3**  $|\langle v_z | \bar{v}_z \rangle| \geq 1 - \epsilon$ .

**Proof:**  $\langle v_z | \bar{v}_z \rangle = \sum_{i \in \Lambda} |\gamma_i|^2$ , i.e., it is the length of the projection of  $v_z$  onto  $\Lambda$ . We will show that this quantity is the same for all  $z$ . If we know that we can define  $\psi = \frac{1}{\sqrt{N}} \sum_z |z, v_z\rangle$  and  $\bar{\psi} = \frac{1}{\sqrt{N}} \sum_z |z, \bar{v}_z\rangle$  (so  $\psi$  and  $\bar{\psi}$  are normalized). Then, from the proof of Theorem 1 we know that:

$$|\langle \psi | \bar{\psi} \rangle| \geq 1 - \epsilon$$

But

$$\langle \psi | \bar{\psi} \rangle = \frac{1}{N} \sum_z \langle v_z | \bar{v}_z \rangle = \langle v_z | \bar{v}_z \rangle$$

which together implies that  $\langle v_z | \bar{v}_z \rangle = \langle \psi | \bar{\psi} \rangle \geq 1 - \epsilon$  as required.

We are left with proving:

**Claim 5.2** *For any eigenspace  $E_j$ ,  $|\langle v_z | E_j \rangle|^2$ , which is the length of the projection of  $v_z$  on  $E_j$ , does not depend on  $z$ .*

**Proof:** Let  $z_1, z_2 \in X$  be two  $k$ -subsets. I.e.,  $z_1, z_2 \subset [1..n]$  and  $|z_1| = |z_2| = k$ . There is a permutation  $\pi \in S_n$  s.t.  $\pi(z_1) = z_2$  where for a set  $A$ ,  $\pi(A) = \{\pi(a) | a \in A\}$ .

The operation of the permutation  $\pi$  can be thought of as a unitary transformation permuting the basis vectors  $|x\rangle$  for  $x \in X$ . I.e., given a super-position  $\phi = \sum_{i \in X} a_i |i\rangle$ ,  $\pi(\phi)$  is defined to be  $\sum_{i \in X} a_i |\pi(i)\rangle$ . In particular, for any two super-positions  $\phi_1, \phi_2$   $\langle \pi(\phi_1) | \pi(\phi_2) \rangle = \langle \phi_1 | \phi_2 \rangle$ . As a result  $\langle v_{z_1} | E_j \rangle = \langle \pi(v_{z_1}) | \pi(E_j) \rangle$  where  $\pi(E_j) = \text{Span}\{\pi(w) | w \in E_j\}$ . However, we observe that

$$\begin{aligned} \pi(v_{z_1}) &= \sum_{y: y \cap z_1 = \emptyset} |\pi(y)\rangle \\ &= \sum_{w: \pi^{-1}(w) \cap z_1 = \emptyset} |w\rangle \\ &= \sum_{w: w \cap \pi(z_1) = \emptyset} |w\rangle = v_{z_2} \end{aligned}$$

Finally, because of the symmetries of the eigenspaces  $E_j$ ,  $\pi(E_j) = E_j$ . The lemma follows.  $\square \square$

## 6. A Lower Bound on Classical Sampling

We prove a lower bound for the problem of sampling a pair of disjoint uniformly random subsets of cardinality  $k$  with error probability at most  $\epsilon$ . In general, a sampling protocol for this kind of sampling problem may be described as follows: the game starts with no inputs to Alice and Bob, and after the exchange of a number of messages between the two, Alice picks a random sample from the set  $X$  and Bob picks a random sample from the set  $Y$ . However, we claim that classical sampling protocols can always be made one message at no cost:

**Lemma 6.1** *Given any sampling protocol  $P$  with  $k$  communication bits and  $\epsilon$  error, there is an optimal one message sampling protocol that samples from the desired distribution with the same complexity.*

**Proof:** The protocol goes as follows:

- Alice simulates the protocol  $P$ , playing the role of both players. She then announces the resulting sequence of messages  $M$  to Bob.
- Alice and Bob pick inputs  $S$  and  $T$  according to the respective conditional distributions for the protocol  $P$  given the messages  $M$ .

The crucial observation is that conditioned on the sequence of messages exchanged, the distribution from which Alice and Bob sample is a product distribution.  $\square$

In the case of the disjoint subsets sampling problem,  $X$  and  $Y$  are the collection of all cardinality  $k$  subsets of  $\{1, \dots, n\}$ . Let  $R = U \times V$  be a rectangle, with  $U \subseteq X$  and  $V \subseteq Y$ . To prove the lower bound on the sampling complexity of disjoint cardinality  $k$  subsets with error at most  $\epsilon$ , we shall make use of the following property proved by Babai, Frankl and Simon:

**Lemma 6.2** [2] *There exist constant  $\epsilon > 0$  and  $\delta = 2^{-\Omega(\sqrt{n})}$  such that for any rectangle  $R = U \times V$  with  $\frac{|R|}{|X||Y|} \geq \delta$ , at least  $\epsilon$  fraction of the pairs of subsets in  $R$  intersect (are not disjoint).*

We are now ready to prove the lower bound:

**Theorem 8** *There is a constant  $\epsilon > 0$  such that any classical protocol to sample from the uniform distribution on disjoint subsets of  $\{1, \dots, n\}$  of cardinality  $\Theta(\sqrt{n})$  with error at most  $\epsilon$  must require the exchange of  $\Omega(\sqrt{n})$  bits.*

**Proof:** Lemma 6.1 implies that the final distribution that Alice and Bob sample from is a linear combination of  $L$  product distributions  $D_M$ , where  $L$  is the size of the message space from which Alice chooses her message to Bob (i.e.  $\log L$  is the number of bits transmitted during the protocol).

Say that a distribution  $D$  on rectangle  $R$  is *almost uniform* if for any pair of elements  $u, v \in R$ , the ratio of their probabilities  $D(u)/D(v) \leq 4$ . We begin by showing that any product distribution can be very closely approximated by a linear combination of a small number of almost uniform distributions on rectangles:

**Claim:** Let  $D$  be a product distribution on  $X \times Y$ . Then there are rectangles  $R_1, \dots, R_{9n^2}$  such that  $D$  is within (total variation distance)  $2^{-2n+1}$  of a linear combination of almost uniform distributions on  $R_1, \dots, R_{9n^2}$ .

**Proof:** We partition  $X$  to sets  $X_0, \dots, X_{3n-1}$  and  $X_{Bad}$  where  $X_i = \{x | \frac{1}{2^{i+1}} \leq D(x) \leq \frac{1}{2^i}\}$  and  $X_{Bad}$  is all other strings. We similarly partition  $Y$ . We define the distribution  $D_{i,j}$  to be the distribution  $D$  induces on the rectangle  $X_i \times Y_j$  ( $0 \leq i, j \leq 3n-1$ ). It is clear that  $D_{i,j}$  is almost uniform. Let us denote by  $\overline{D}$  the appropriate linear combination of the distributions  $D_{i,j}$ ,  $\overline{D} = \sum_{i,j} p_{i,j} D_{i,j}$  (where  $p_{i,j}$  is the weight of the rectangle  $X_i \times Y_j$  under  $D$ ). It is clear that  $\overline{D}(a, b) = D(a, b)$  for any  $(a, b)$  that belongs to some rectangle  $X_i \times Y_j$ . Thus,  $|\overline{D} - D|_1$  is bounded by the total weight (under  $D$ ) of entries in  $X_{Bad} \times Y$  and  $X \times Y_{Bad}$ , and so is bounded by  $2 \cdot 2^n \cdot 2^{-3n} = 2^{-2n+1}$ . The lemma follows.  $\square$

The final distribution that Alice and Bob sample is a linear combination of  $L$  product distributions, where  $L$ , the number of distinct messages, is at most  $2^n$ . Therefore by the claim above, the final distribution is  $2^{-n+1}$  close to a linear combination of  $9n^2 L$  almost uniform distributions on rectangles.

Since  $k = \Theta(n)$ , the probability  $p$  that  $x$  and  $y$  are disjoint is a constant. By Lemma 6.2 any "large" rectangle  $R$  with  $\frac{|R|}{|X||Y|} \geq \delta$  ( $\delta = 2^{-\Omega(\sqrt{n})}$ ) must have at least  $\epsilon$  fraction of pairs which are not disjoint sets. Therefore any almost uniform distribution on such a large rectangle must have at least  $\epsilon/4$  fraction of its probability on pairs of intersecting sets. This means that there is not too much weight on large rectangles, or, more precisely, if the error probability of the protocol is less than  $p\epsilon/8$  then the total weight put on large rectangles is at most  $p/2$ .

This implies that at least  $\frac{p}{2} - \epsilon = \Omega(1)$  fraction of the sets are disjoint and covered by small rectangles. This means that the total area covered by small rectangles is at least  $\Omega(|X||Y|)$ . But each small rectangle has area at most  $\delta|X||Y|$  so the number of rectangles needed is at least  $\Omega(\frac{1}{\delta}) = 2^{\Omega(\sqrt{n})}$ . Therefore if we want the error to be smaller than  $\epsilon' = p\epsilon/8$ , we must have  $9n^2L \geq 2^{\Omega(\sqrt{n})}$ . Therefore the number of bits exchanged,  $\log L = \Omega(\sqrt{n})$ .

Intuitively, the proof shows that large rectangles introduce large error, while small rectangles provide a very slow progress.  $\square$

## 7. Zero Error Sampling

$$7.1 \quad \overset{\circ}{Q}_0(f, \mathcal{D}) \geq \frac{\log(\text{Rank}(M_{f, \mathcal{D}}))}{2} - 1$$

Theorem 1 gives a bound on  $q$ -sampling with errors, but not on sampling. For the zero error case we show a similar bound, using similar techniques, that applies even to sampling.

**Proof:** (of Theorem 5) Given a protocol  $P$  for sampling  $f$  we define the  $|X| \times |Y|$  matrix  $M_P^0$  by letting  $M_P^0[x, y]$  be the probability that  $P$  samples  $(x, y)$  with the answer 0. We similarly define  $M_P^1$ . We let  $M_P = M_P^0 - M_P^1$ . Note that  $M_P$  does not necessarily correspond any more to the probability the protocol answers with a yes or no to an instance  $(x, y)$ .

**Lemma 7.1** [10] *Suppose  $P$  uses only  $l$  communication qubits. Then  $\text{Rank}(M_P^0), \text{Rank}(M_P^1) \leq 2^{2l}$ .*

**Proof:** Let  $P$  be a quantum protocol for sampling  $f$  using  $l$  qubits. Suppose by the end of the protocol the superposition is  $\phi$ , and  $w_l$ , the last qubit communicated, contains the answer (0 or 1). By Claim 3.2

$$\phi = \sum_{w \in \{0,1\}^l} \sum_{x \in X, y \in Y} |x, U_x(w), w_l, y, V_y(w)\rangle$$

Define  $Y_0 = \{w \in \{0,1\}^l | w_l = 0\}$  and  $\phi_{x,y}^0 = \sum_{w \in Y_0} |x, U_x(w), w_l, y, V_y(w)\rangle$ . Then

$$\begin{aligned} M_P^0[x, y] &= \langle \phi_{x,y}^0 | \phi_{x,y}^0 \rangle \\ &= \sum_{w, z \in Y_0} \langle U_x(w) | U_x(z) \rangle \langle V_y(w) | V_y(z) \rangle \end{aligned}$$

If we define a matrix  $A$  of dimension  $|X| \times |Y_0|^2$  by  $A[x, (w, z)] = \langle U_x(w) | U_x(z) \rangle$  and a matrix  $B$  of dimension  $|Y_0|^2 \times |Y|$  by  $B[(w, z), y] = \langle V_y(w) | V_y(z) \rangle$  then we see that  $M_P^0[x, y] = (AB)[x, y]$ . That is,  $M_P^0 = AB$ . In particular  $\text{Rank}(M_P^0) = \text{rank}(AB) \leq \text{rank}(A) \leq |Y_0|^2 \leq 2^{2l}$ . Clearly, a similar argument shows that  $\text{Rank}(M_P^1) \leq 2^{2l}$ .  $\square$

We remind the reader that for  $f : X \times Y \mapsto \{0, 1\}$  the matrix  $M_{f, \mathcal{D}}$  has dimensions  $|X| \times |Y|$  and is defined by  $M_{f, \mathcal{D}}[x, y] = (-1)^{f(x,y)} \mathcal{D}_{x,y}$  ( $M_{f, \mathcal{D}}$  is not normalized, i.e.,  $\|M_{f, \mathcal{D}}\|_2$  is not necessarily 1). We notice that if  $P$  samples  $f$  with zero error using  $l$  qubits, then  $M_P = M_{f, \mathcal{D}}$ . Moreover  $\text{Rank}(M_{f, \mathcal{D}}) = \text{Rank}(M_P) \leq \text{Rank}(M_P^0) + \text{Rank}(M_P^1) \leq 2^{2l} + 2^{2l} = 2^{2l+1}$ . In particular  $2l + 1 \geq \log(\text{Rank}(M_{f, \mathcal{D}}))$ . Hence  $\overset{\circ}{Q}_0(f, \mathcal{D}) \geq \frac{\log(\text{Rank}(M_{f, \mathcal{D}}))}{2} - 1$  and Theorem 5 follows.  $\square$

## 7.2 Zero Error Classical Sampling vs. Computing

We now prove Lemma 1.4 that  $\sqrt{D(f)} \leq \overset{\circ}{R}_0(f) \leq D(f)$ .

**Proof:** (of Lemma 1.4) Given the matrix  $M_f$ , a monochromatic cover is a set of monochromatic rectangles in  $M_f$  that together cover the whole matrix. Denote  $C(f)$  the smallest number of monochromatic rectangles needed to cover  $M_f$ . Denote  $C^D(f)$  the smallest number of disjoint monochromatic rectangles needed to cover  $M_f$ . Then it is well known (see [14], chapter 2) that

$$\sqrt{D(f)} \leq N(f) = \log_2 C(f) \leq \log_2 C^D(f) \leq D(f)$$

where  $N(f)$  is the non-deterministic communication complexity.

We show that  $\log_2 C(f) \leq \overset{\circ}{R}_0(f) \leq \log_2 C^D(f)$  and in particular we get that  $\sqrt{D(f)} \leq N(f) \leq \overset{\circ}{R}_0(f) \leq D(f)$  as required.

We first show that  $\log_2 C(f) \leq \overset{\circ}{R}_0(f)$ . By Lemma 6.1 there is a one message zero error sampling protocol whose complexity is  $k = \overset{\circ}{R}_0(f)$ . In the one message protocol a message  $M$  is chosen (out of the  $2^k$  possible messages) according to some probability distribution, and given the message  $M$  Alice (Bob) chooses a message  $x \in X$  ( $y \in Y$ ) according to some probability distribution that depends on  $M$ . Let us say that  $X_M$  ( $Y_M$ ) is the set of elements in  $X$  that have non-zero probability of being selected by Alice (Bob) given the message  $M$ . As the protocol has zero error, the rectangle  $X_M \times Y_M$  must be monochromatic. As Alice and Bob sample inputs according to the uniform distribution, every  $(x, y) \in X \times Y$  must be covered. Hence the protocol gives rise to a monochromatic cover of  $M_f$  with only  $2^k$  rectangles and hence  $C(f) \leq 2^k$ .

For the second part, suppose a disjoint monochromatic cover of  $M_f$  with  $2^k$  rectangles exist. Say, the cover contains the rectangles  $R_1, \dots, R_{2^k}$  and  $R_i = X_i \times Y_i$ . We build a sampling protocol. A message  $i \in \{1, \dots, 2^k\}$  is picked with probability proportional to the area of  $R_i$ . Given the message  $i$ , Alice picks a random element  $x \in X_i$ , and Bob picks a random element  $y \in Y_i$ . It is easy to verify that as the cover is disjoint, this results in the uniform distribution over  $X \times Y$  along with the value of  $f(x, y)$ . Hence  $\overset{\circ}{R}_0(f) \leq k$ .  $\square$

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