

# On Metric Ramsey-Type Phenomena

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# Metric Ramsey Problem

## The Philosophy of Ramsey Theory:

*Every system contains large highly structured subsystem.*

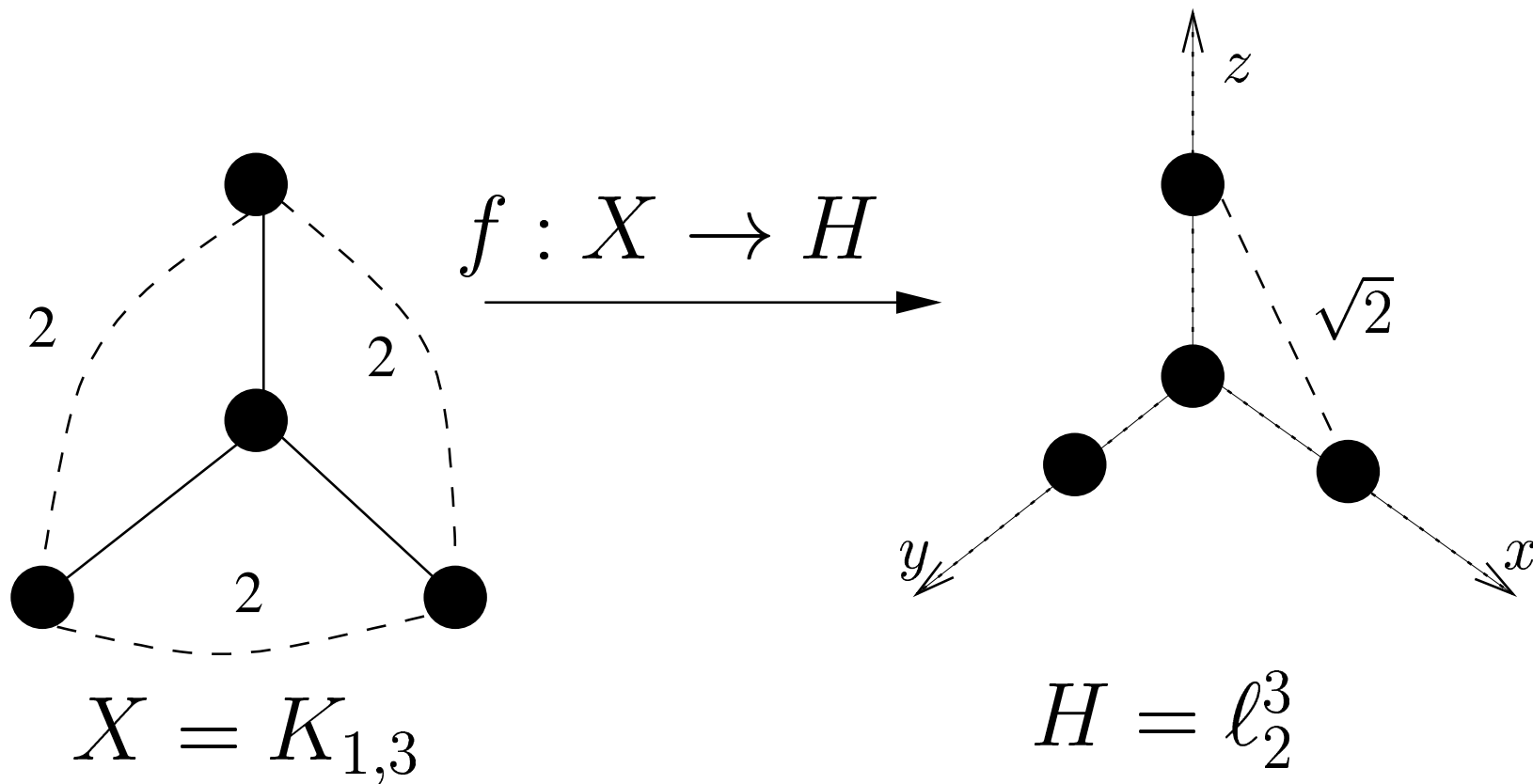
## Metric Ramsey Problem:

Every finite metric space contains a *well-structured* subset of size  $R(n)$ .

## Well-structured metric space:

Having low-distortion metric embedding in (say) Euclidean space.

# Distortion



$$\text{dist}(f) = \sqrt{2}, \quad X \text{ } \sqrt{2}\text{-embeds in } H.$$

# Metric Ramsey Formulation [BFM]

**Given:**  $\alpha \geq 1$ ;  $X$ :  $n$ -point metric space.  
What is the largest  $Y \subset X$  such that  
 $Y$   $\alpha$ -embeds in  $\ell_2$ ?

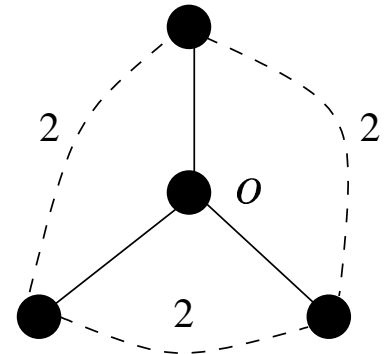
**Def.**  $R_{\ell_2}(\alpha, n)$ :

The largest  $m$  satisfying:

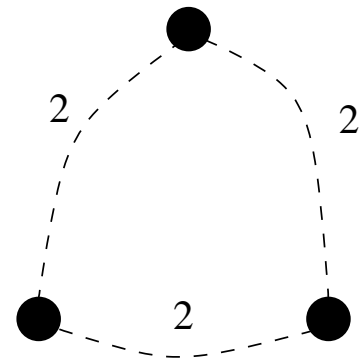
$\forall n$ -point  $X$ ,  $\exists Y \subset X$  such that

1.  $Y$   $\alpha$ -embeds in  $\ell_2$ .
2.  $|Y| = m$ .

( **Prop.**  $R_{\ell_2}(1, n) \leq 3$ ,  
so we will study  $\alpha > 1$ )



$K_{1,3}$   $(2/\sqrt{3})$ -embeds in  $\ell_2$ .



$(K_{1,3} \setminus \{o\})$  1-embeds in  $\ell_2$

# GA Motiv: Dvoretzky's Theorem [Dvo,Mil]

Thm.

$\forall \alpha > 1$ ,  $\forall X$ :  $n$ -dim' normed space,  
 $\exists Y \subset X$  subspace such that

1.  $Y$   $\alpha$ -embeds in  $\ell_2$ .
2.  $\dim(Y) \geq C(\alpha) \log n$ .

This bound is tight for the  $\ell_\infty$  norm, for any  $\alpha > 1$ .

[Usually introduced via spheric sections of convex bodies]

- A cornerstone of modern convex geometry.
- Metric Ramsey problem is a metric analog of Dvoretzky's Theorem.

# [BFM] result

Thm. [BFM]

1.  $\forall \alpha > 1, R_{\ell_2}(\alpha, n) \geq C(\alpha) \log n.$
2.  $\exists \alpha_0 \approx 1.023, R_{\ell_2}(\alpha_0, n) \leq 2 \log n + 3.$

These bounds are “similar to” Dvoretzky’s Thm — Except: In the linear world, the  $O(\log n)$  upper bound holds for any distortion  $\alpha > 1$ .

# CS motiv: MTS, $k$ -server

Online problems:  $k$ -server [MMS], metrical task systems [BLS].

- A metric space  $X$  — part of their description.
- Cost = Sum of distances.
- An alg' for  $X$  induces alg's  $\forall Y \subset X$ .

**Goal:** Prove a lower bound  $\forall n$ -point metric space.

**Basic Technique:** [KRR,BKRS,BBM]

1. Extract **large**  $Y \subset X$ ,  $Y$  **resembles** a **simple** metric  $Z$ .
2. Prove a lower bound for  $Z$ .

Step 1. is a metric Ramsey problem.

# Our Results

Thm.

1.  $\forall \alpha \in (1, 2)$ ,

$$c(\alpha) \log n \leq R_{\ell_2}(\alpha, n) \leq 2 \log n + C(\alpha).$$

2.  $\forall \alpha > 2$ ,  $\exists 0 < c(\alpha) \leq C(\alpha) < 1$ ,

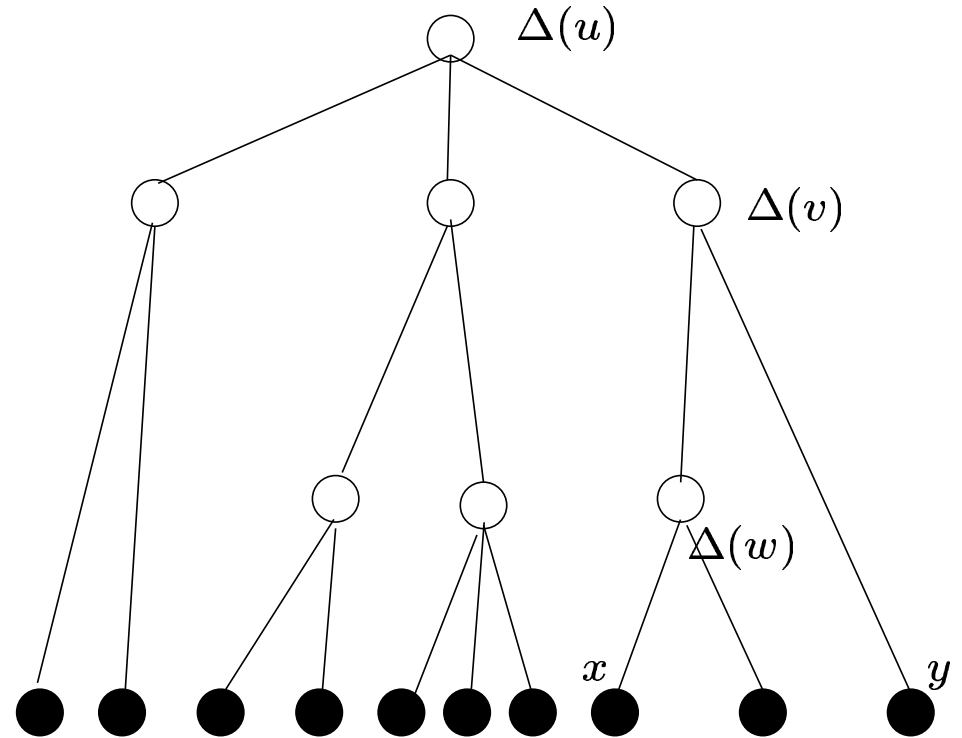
$$n^{c(\alpha)} \leq R_{\ell_2}(\alpha, n) \leq n^{C(\alpha)}.$$

3.  $\forall \alpha > 2$  (large),

$$n^{1 - \frac{c \log \alpha}{\alpha}} \leq R_{\ell_2}(\alpha, n) \leq n^{1 - \frac{C}{\alpha}}.$$

# Ultrametric / $k$ -HST [B]

- Ultrametric = 1-HST.
- Observation:  
Ultrametrics 1-embed  
in  $\ell_2$ .



$$\Delta(w) \leq \frac{\Delta(v)}{k} \leq \frac{\Delta(u)}{k^2}$$

$$d(x, y) = \Delta(v)$$

Example of  $k$ -HST

$$R_{\text{UM}}(\alpha, n) \geq n^{c(\alpha)}, \text{ for } \alpha > 2$$

An almost tight bound asymptotically in  $\alpha$ :

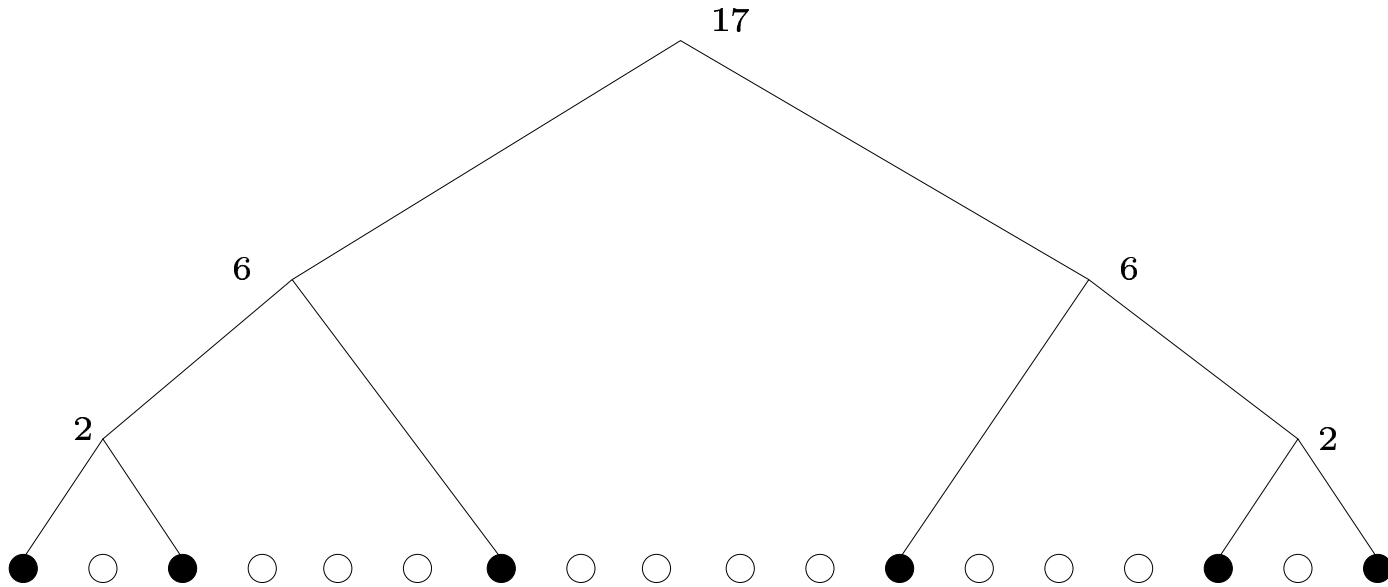
$$R_{\text{UM}}(\alpha, n) \geq n^{1 - \frac{c \log \alpha}{\alpha}}. \quad (1)$$

In other words,

$\forall \varepsilon > 0, \forall n$ -point  $X, \exists Y \subset X$  s.t.

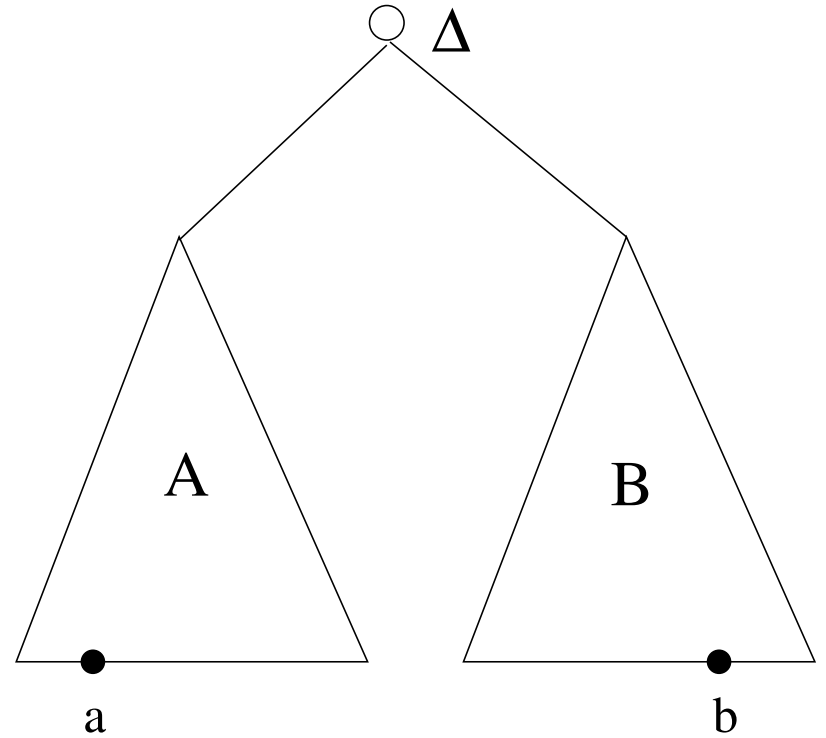
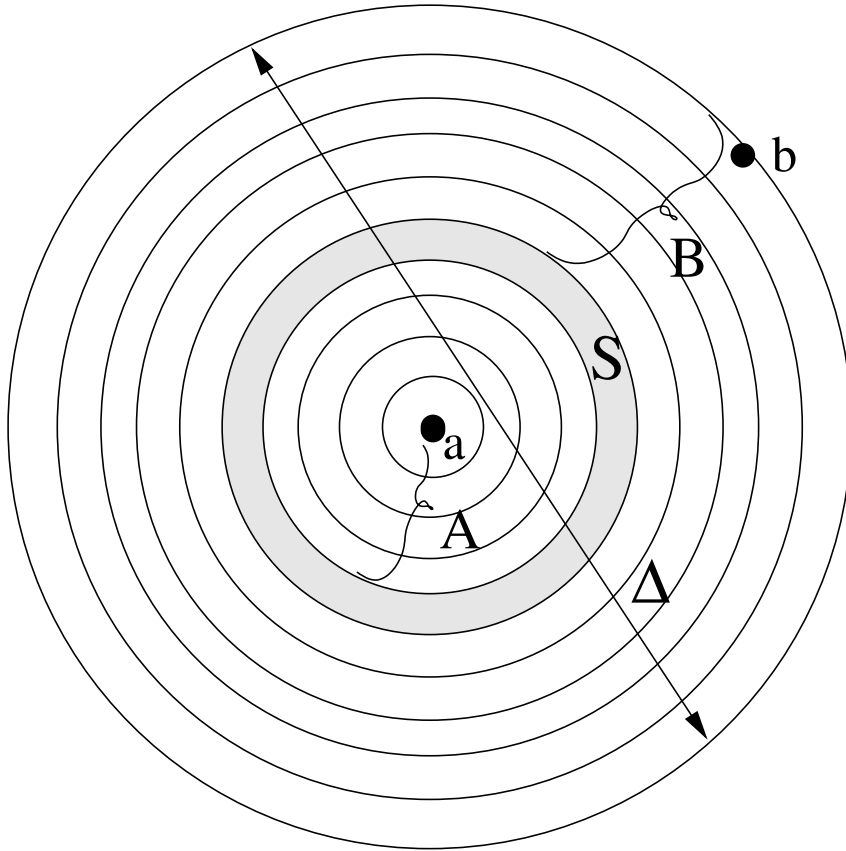
1.  $Y$   $O\left(\frac{\log(1/\varepsilon)}{\varepsilon}\right)$ -embeds in an ultrametric.
2.  $|Y| \geq n^{1-\varepsilon}$ .

# A Toy Problem: Path Metric



- Extract a discrete version of the Cantor set.
- Obtain  $2^{\log_3 n} = n^{\frac{\log 2}{\log 3}}$ -point subset which 3 approximates UM (3-HST).
- Easy to see: This is essentially tight for UM.

# Naïve Approach I [BBM]



Pick one shell  $S$ , and delete it.

# Naïve Approach II

- Denote  $|A| = \delta_i n$  points;  $|B| = (1 - \delta_{i+1})n$ .
- We want to prove  $R_{\text{UM}}(\alpha, n) \geq n^\psi$ .
- By induction,

$$R_{\text{UM}}(\alpha, n) \geq (\delta_i n)^\psi + ((1 - \delta_{i+1})n)^\psi = (\delta_i^\psi + (1 - \delta_{i+1})^\psi)n^\psi$$

- It is sufficient to prove

$$\forall \frac{1}{n} \leq \delta_0 \leq \delta_1 \leq \dots \leq \delta_\alpha \leq 1 - \frac{1}{n} \quad \exists i, \quad \delta_i^\psi + (1 - \delta_{i+1})^\psi \geq 1.$$

- It is true for  $\psi = 1/(\log n)^{2/\alpha}$ .
- But it is not true for larger  $\psi$ .

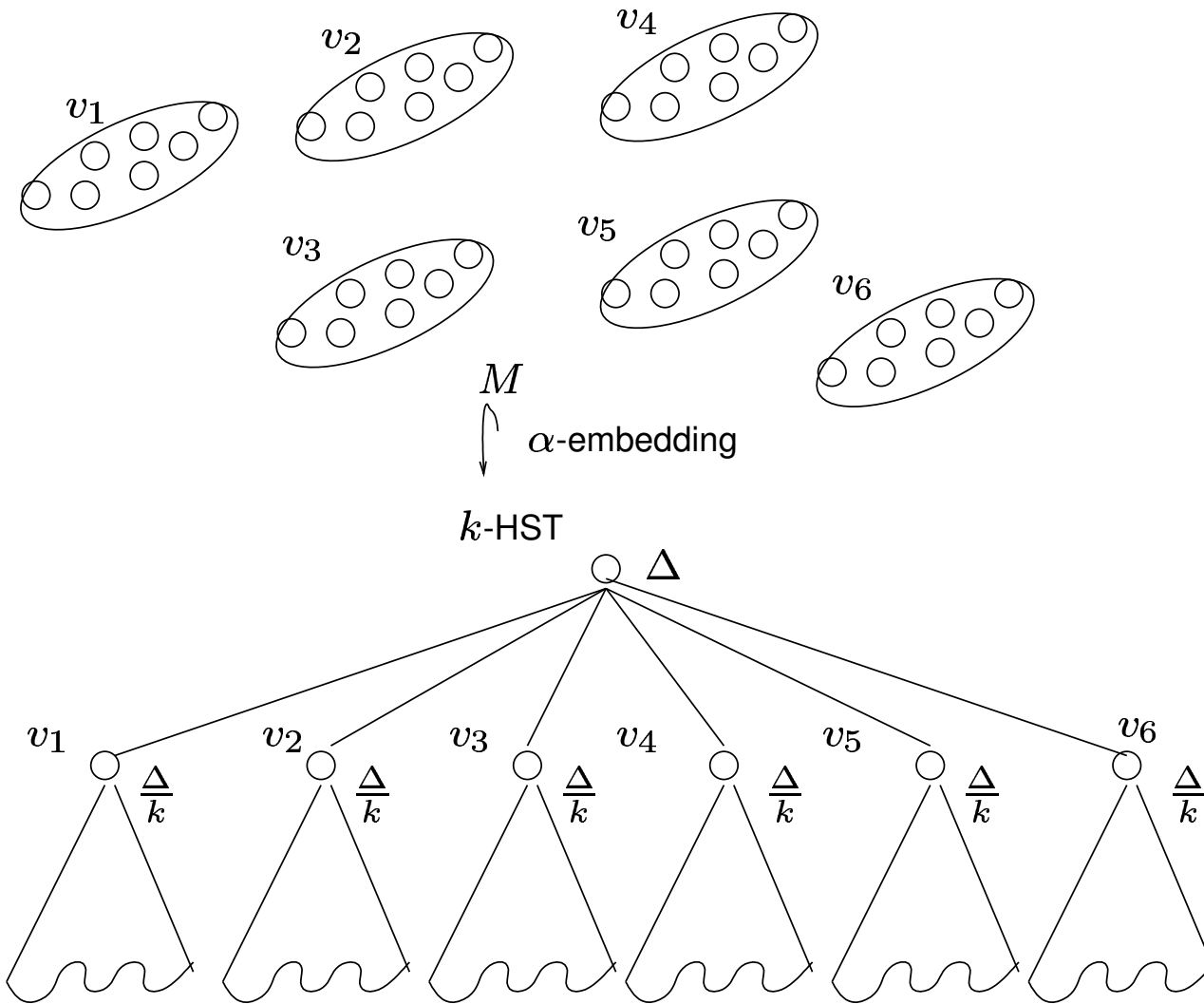
# Our Construction

## Key Idea:

Iteratively improve the embedding into an HST by deleting more points, using information from the previous embedding.

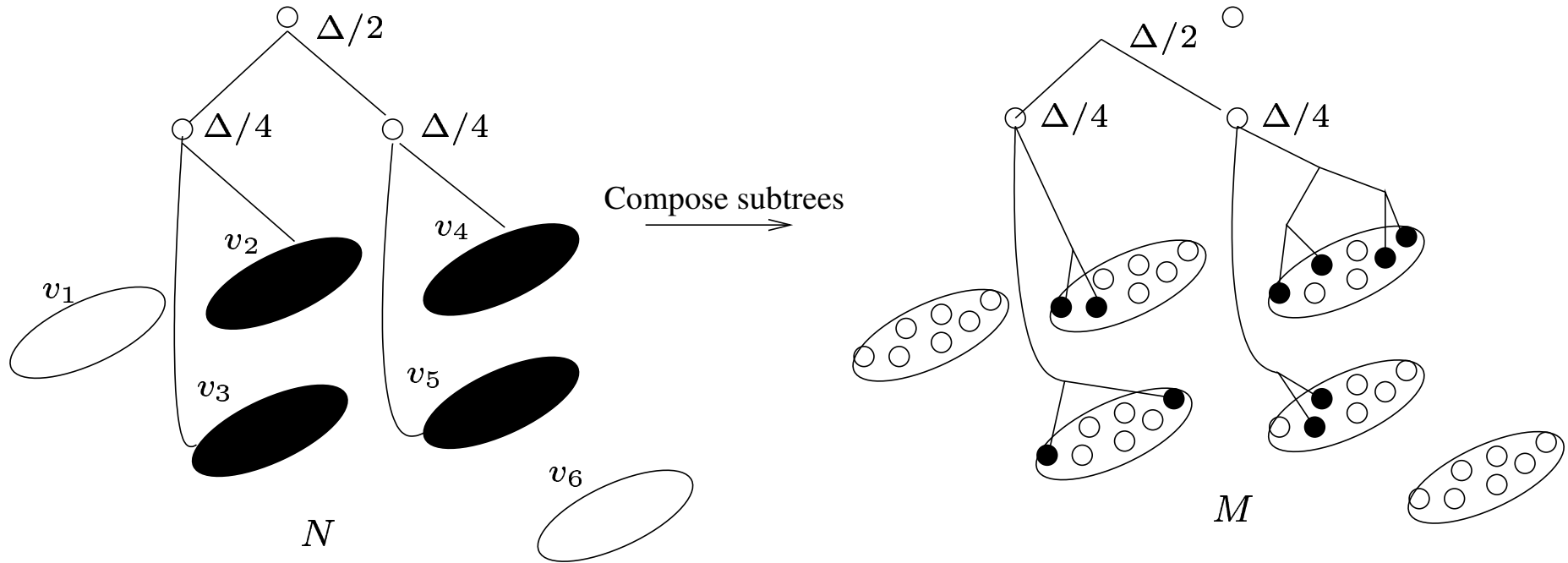
- Assume  $M$  is  $\alpha$ -embedded in  $k$ -HST, and  $k \geq 10\alpha$ .
- Consider a vertex  $u$  and its children  $v_i$  in the HST.
- A natural metric  $N$  is defined on  $\{v_i\}$ .
- $N$  approximates the distances in  $M$  (between subspaces).
- Solve a (weighted) Ramsey problem (into HSTs) for  $N$ , and concatenate the trees.

# Composition I



We assume  $k \geq 10\alpha$

# Composition II



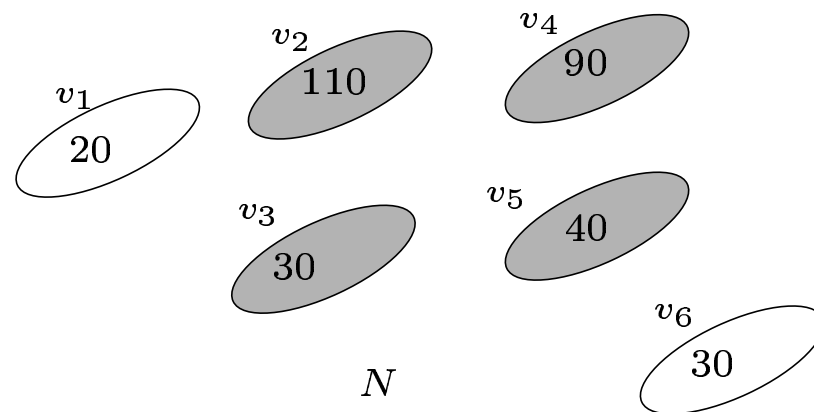
# The Gain So Far

- A reduction from the Ramsey problem on  $M$  to a “weighted” Ramsey problem on  $N$ .
- Gain:
  - $N$  has a controlled **aspect ratio**.
- Lose:
  - The weighted Ramsey problem is possibly more complicated.
- The trade-off is a progress!

# Weighted Metric Ramsey Problem I

The high level Ramsey problem is “weighted”: Find a subspace & largest  $\psi$  satisfying

$$110^\psi + 90^\psi + 30^\psi + 40^\psi \geq (110 + 90 + 30 + 40 + 20 + 30)^\psi.$$



Given a metric space  $N$ , distortion  $\alpha$ .  $\psi(\alpha, N)$  is the largest  $\psi$  s.t.:

$$\forall (w_u \geq 0)_{u \in N} \exists Y \subset N, \text{ s.t.}$$

$$Y \text{ is } \alpha \text{ approximated by UM, and } \sum_{u \in Y} w_u^\psi \geq \left( \sum_{u \in N} w_u \right)^\psi.$$

# Weighted Metric Ramsey Problem II

Define

$$\psi(\alpha, \Phi) = \min\{\psi(\alpha, M); \text{a.r.}(M) \leq \Phi\}.$$

**Lemma.**  $\psi(\alpha, \Phi) \geq 1 - c \frac{\log \log \Phi + \log \alpha}{\alpha}$ .

- The proof is very technical with lots of details.
- The heart of the proof are [BBM]-like constructions, that consider both the aspect ratio and the weight.

# One More Step I

In previous slides:

- Started with  $M_1$ ,  $\alpha_1$ -approx' by  $k_1$ -HST  $T_1$ ,  $k_1 \geq 10\alpha_1$ .
- Found  $M_2 \subset M_1$ ,  $\alpha_2$ - approx' by 1-HST  $T_2$  ( $\alpha_2 \ll \alpha_1$ ).

Missing step:

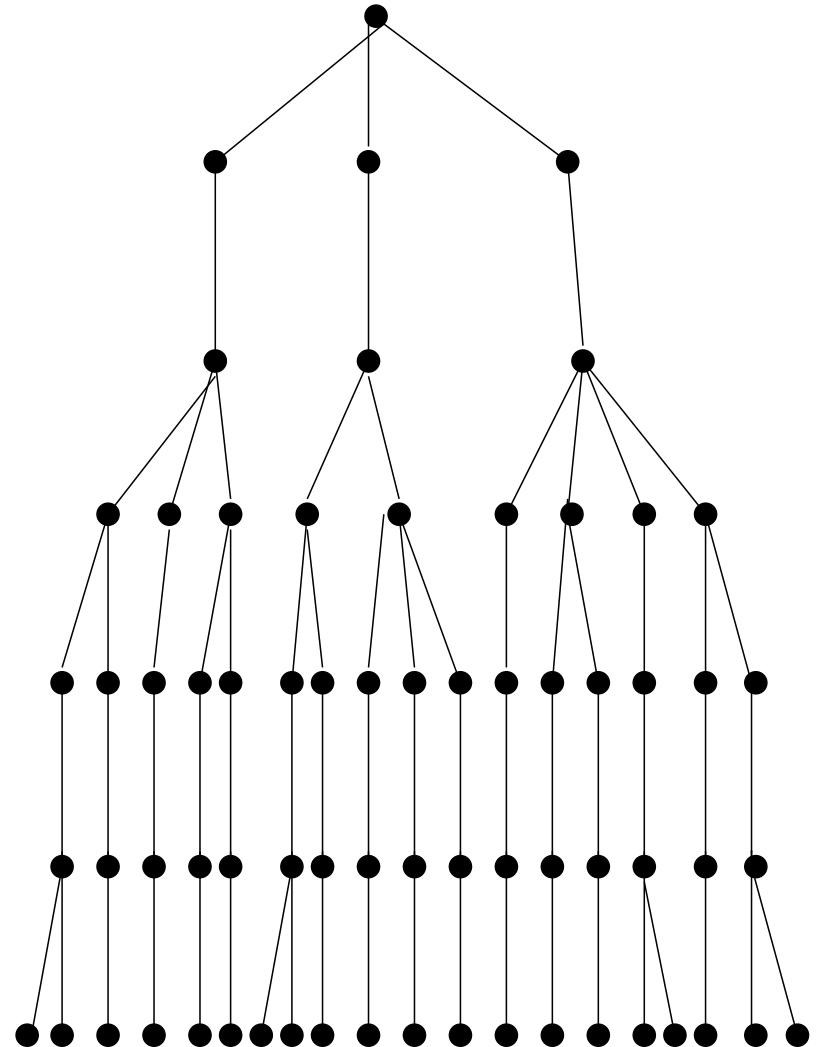
- Find  $T_3 \subset T_2$ ,  $\alpha_3$  approx' by  $k_3$ -HST,  $k_3 \geq 10\alpha_2\alpha_3$ .
- Then  $M_3 \subset M_2$  is  $\alpha_2\alpha_3$  approximated by a  $k_3$ -HST.

# One More Step II

The heart of the argument for the “missing step”:

**Def.** A rooted tree is  $h$ -periodically sparse if on every vertical path every  $h$ -th vertex has only one child.

**Lemma.** Any  $n$ -leaf rooted tree contains  $h$ -periodically sparse subtree with  $n^{\frac{h-1}{h}}$  leaves.



3 periodically sparse tree

# The High Level Iteration

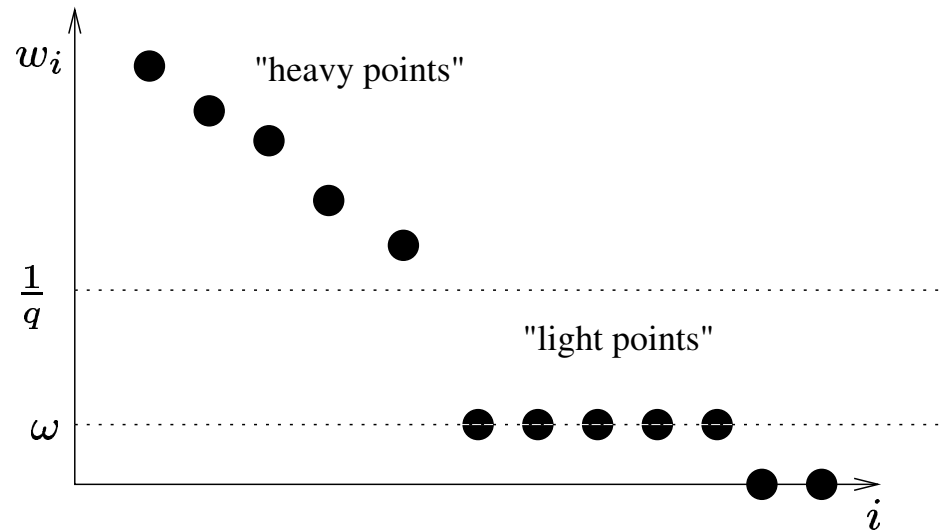
- Given Metric space  $M$ , distortion  $\alpha$ .
- $n = |M|$ ,  $\Phi = \text{a.r.}(M)$ .
- $M$  has trivial  $\Phi$ -embedding into UM.
- Set  $\beta_0 = \Phi$ ,  $M_0 = M$ .
- Iterate on  $i$ , until  $\beta_i \leq \alpha$ .
  - Assuming  $M_{i-1}$  has  $\beta_{i-1}$  embedding in UM.
  - Find  $M_i \subset M_{i-1}$  having  $\beta_i = \beta_{i-1}/2$  embedding in UM, and  $|M_i| \geq |M_{i-1}|^{1 - c \frac{\log \beta_{i-1}}{\beta_{i-1}}}$ .
- At the end,  $M_{i_*}$  is  $\beta_{i_*} \leq \alpha$  embedded in UM, and

$$|M_{i_*}| \geq n^{(1 - c \frac{\log \alpha}{\alpha})(1 - c \frac{\log 2\alpha}{2\alpha})(1 - c \frac{\log 4\alpha}{4\alpha}) \dots} \geq n^{1 - 6c \frac{\log \alpha}{\alpha}}.$$

# Proof of $\psi(\alpha, \Phi) \geq 1 - c \frac{\log \log \Phi + \log \alpha}{\alpha}$ .

First step: Simplify the the weights.

**Def.** A sequence of weights  $(w_i)_{i \in \mathbb{N}}$ ,  $\sum_i w_i = 1$  is  **$q$ -decomposable** if exists  $\omega > 0$  such that:



**Lemma.** By loosing a factor of  $1 - \frac{c \log \log q}{\log q}$  in the exponent, we may assume the weights are  $q$ -decomposable.

# Proof of $\psi(\alpha, \Phi) \geq 1 - c \frac{\log \log \Phi + \log \alpha}{\alpha}$ .

Second Step: Apply a [BBM] like construction.  
Case analysis at each level of the recursion:

- If there are two “heavy” points  $i, j$  with  $d(i, j) \geq \text{diam}/4$ :
  - Apply the [BBM] argument with  $i, j$  as endpoints. In this case we can take  $\psi = 1/(\log q)^{4/\alpha} \approx 1 - c \frac{\log \log q}{\alpha}$ .
- Otherwise, there are two “light” points  $i, j$ , with  $d(i, j) \geq \text{diam}/4$ , and  $i, j$  are far from the “heavy points”:
  - Again, apply [BBM]-like procedure:
  - All the relevant shells contains only light points — so unweighted.
  - The inner ball has a.r. smaller by factor of 2 — enables induction on the aspect ratio.

# Summary

- Comprehensive study of the metric Ramsey problem.
- Improved lower bounds for some online problems.
- Possible applications for clustering should be further studied.
- More results:
  - The metric Ramsey problem for special metrics:
    - Expanders, discrete cubes, high-girth graphs.
  - Embedding in low dimensional normed space.
  - Isometric embedding in  $\ell_p$  and uniformly convex normed spaces.
  - Dichotomic metric Ramsey problems.